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Doctoral Regulations
for the Faculty of Humanities & Social Sciences
of the University of Bayreuth
of 15 September 2017
in the version dated 30 March 2023

On the basis of Art. 13 para. 1 sentence 2 in conjunction with Art. 64 para. 1 sentence 4 of the Bavarian Higher Education Act (BayHSchG), the University of Bayreuth issues the following doctoral degree regulations for the Faculty of Humanities & Social Sciences

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§ 1

Doctoral degree

- (1) ¹The University of Bayreuth awards the academic degrees "Doktor der Philosophie" and "Doktorin der Philosophie" through the Faculty of Humanities & Social Sciences in accordance with these doctoral degree regulations. ²The abbreviated form of these degrees is "Dr phil."
- (2) The doctorate serves as proof of independent academic achievement, which must go considerably beyond the examination achievements required for acceptance to the doctorate in § 8.
- (3) The doctoral work consists of an academic paper (dissertation) written independently by the doctoral candidate and an oral examination (viva voce).
- (4) ¹The University of Bayreuth may confer the academic degrees "Doktor der Philosophie ehrenhalber" and "Doktorin der Philosophie ehrenhalber" through the Faculty of Humanities & Social Sciences in accordance with § 23. ²The abbreviated form of these degrees is "Dr. phil. h. c."

§ 2

Examination authorisation

¹Teaching staff authorised to administer examinations (Art. 85 Para. 1 Bavarian Higher Education Innovation Act (BayHIG)) in accordance with these doctoral degree regulations are full-time and part-time university lecturers (Art. 19 Para. 1 Sentences 1 and 3 BayHIG), professors who have been relieved of their duties or have retired (Art. 19 Para. 1 Sentence 4 BayHIG) and other teaching staff of the Faculty of Humanities & Social Sciences who are authorised to administer examinations in accordance with § 4 University Examination Ordinance (HSchPrüferV). ²At the suggestion of the Dean, teaching staff from other faculties of the University of Bayreuth or other German or foreign universities may also be appointed as examiners, provided that they fulfil the qualification requirements specified in Art. 85 Para. 1 BayHIG in conjunction with Section 4 HSchPrüferV or equivalent requirements.

§ 3

Examining body

The examination body is the doctoral committee of the Faculty of Humanities & Social Sciences.

§ 4

The doctoral committee

- (1) The doctoral committee is responsible for the doctoral procedure, unless otherwise stipulated in these doctoral regulations.
- (2) ¹The members of the Doctoral Committee are the Dean and seven other authorised examiners in accordance with § 2 and, from the time of their appointment, the assessors and examiners who are not already members of the Doctoral Committee. ²The seven members of the doctoral committee are elected by the Faculty Council. ³The term of office of the doctoral committee lasts two years. ⁴The chairperson of the doctoral committee is the dean or, as his/her deputy, the vice dean. ⁵The chairperson chairs the meetings of the doctoral committee and conducts the day-to-day business.
- (3) ¹The doctoral committee shall constitute a quorum if all members have been invited in writing one week before the meeting and the majority of members are present. ²It shall pass resolutions by a majority of the votes cast. ³Abstentions, secret ballots and the transfer of voting rights are not permitted. ⁴In the event of a tie, the Chairperson shall have the casting vote. ⁵Exclusion due to personal involvement is governed by Art. 51 Para. 2 BayHIG. ⁶The decisions of the doctoral committee shall be communicated to the candidate in writing by the dean; appeals against decisions shall be justified and accompanied by information on how to appeal.
- (4) ¹The extended doctoral committee of the Faculty of Humanities & Social Sciences is responsible for awarding the honorary doctorate. ²This consists of the full-time professors of the Faculty of Humanities & Social Sciences. ³The dean may invite dismissed or retired professors to attend the meetings as advisory members.

§ 5

The examination subjects

- (1) ¹For the examination for the degree of Doktor/in der Philosophie, the candidate may choose between individual subject examinations and a scientific colloquium. ²If the candidate opts for the oral examination in the form of individual subject examinations, he or she must name one major subject and two minor subjects. ³The major subject is the subject from which the candidate is working on the dissertation topic. ⁴If the candidate decides in favour of the oral examination in the form of the academic colloquium, he or she will be examined by the first reviewer and second reviewer of the dissertation as well as by the representative of another subject proposed by him or her. ⁵One of the examiners shall not belong to the subject group in which the dissertation was written (cf. Section 16 (3)).

- (2) ¹All subjects that are represented by a university lecturer in the Faculty of Humanities & Social Sciences can be chosen as major and minor subjects. ²In addition, all subjects from other faculties of the University of Bayreuth that are represented by a university lecturer may be chosen as minor subjects.
- (3) The Doctoral Committee may admit a subject not represented at the University of Bayreuth as a minor subject if there is a subject-related connection to one of the subjects represented at the Faculty of Humanities & Social Sciences.
- (4) ¹Applicants whose doctoral subject is represented by a professor at the Faculty of Humanities & Social Sciences, but who are accepted into a doctoral programme or structured doctoral study programme at the University of Bayreuth, may carry out their doctoral procedure in accordance with the regulations applicable to the doctoral programme/doctoral study programme in question. ²Supervision by a representative of the Faculty of Humanities & Social Sciences must be ensured.

§ 6

Cooperation with universities of applied sciences/HAWs

- (1) The Faculty of Humanities & Social Sciences of the University of Bayreuth enables the cooperative implementation of doctorates with universities of applied sciences/HAWs and or joint doctorates with Bavarian universities of applied sciences/HAWs on the basis of the agreement of the Bavarian universities of applied sciences of 19 October 2015 within the framework of the provisions of these doctoral regulations.
- (2) Further regulations can be made through cooperation agreements between the universities.

§ 7

Supervision, supervision agreement

- (1) ¹The dissertation is generally supervised by an authorised examiner from the faculty. ²In the case of doctorates with universities of applied sciences/HAWs (§ 6), the dissertation is supervised by the participating authorised examiners of the university of applied sciences/HAW and the university on an equal footing and the supervision agreement is concluded by them together with the applicant.
- (2) ¹In preparation for the doctorate, the supervisor(s) of the dissertation and the candidate shall set out the key points of the doctoral relationship in a written agreement (supervision agreement). ²The supervisor(s) shall send a copy of the supervision agreement to the doctoral committee. ³The supervisor(s) shall also inform the doctoral committee if the doctorate is cancelled. ⁴In the event

of withdrawal from the doctorate in accordance with § 13, the supervision agreement shall be deemed cancelled.

§ 8

Acceptance for the doctorate

- (1) The doctorate begins upon receipt of written confirmation of acceptance for the doctorate by the faculty.
- (2) With the application for acceptance as a doctoral candidate, an online registration as an applicant with the faculty takes place.
- (3) ¹The applicant shall apply for acceptance as a doctoral candidate by the doctoral committee. ²The following requirements must be met:
 1. He or she must have a higher education entrance qualification in accordance with the current version of the Qualification Ordinance.
 2. He or she must have completed a course of study related to the subject of the doctorate and have completed it with a Master's, Magister, Diplom examination, a state examination or an equivalent final examination at an academic university in the Federal Republic of Germany, a Master's degree related to the subject of the doctorate at a university of applied sciences in the Federal Republic of Germany or another equivalent degree at a German or foreign university with a grade of at least "good" (for lawyers: fully satisfactory).
 3. By way of exception, the doctoral committee may accept an applicant for doctoral studies who cannot provide evidence of the overall grade in accordance with No. 2 if
 - a) the subject intended as the subject of the doctorate in a degree programme consisting of several subjects has been completed with at least the partial grade "good" and
 - b) additional achievements agreed with the supervisor of the dissertation totalling at least 10 credit points have been certified and
 - c) two authorised members of the faculty support the application for admission in writing and one of them is responsible for supervising the dissertation.
 4. If the doctorate is to be in a subject that is different from the major subject of the completed degree programme, the doctoral committee may accept the candidate for the doctorate, in particular if
 - a) the requirements of Nos. 1, 3 and 9 to 11 are fulfilled and
 - b) the subject chosen as the major subject has already been studied as a minor subject and the grade achieved in this minor subject has been certified with at least the

grade "good". In addition, the doctoral candidate may be required to complete at least 10 credit points of work agreed with the doctoral supervisor.

5. Graduates
 - a) of interdisciplinary degree programmes involving the Faculty of Humanities & Social Sciences are accepted for doctoral studies if they fulfil the requirements of Nos. 1 to 3 and 9 to 11,
 - b) other interdisciplinary degree programmes may be accepted for doctoral studies if
 - the requirements of Nos. 1, 3 and 9 to 11 are met and
 - the subject chosen as the main subject has already been studied as a sub-subject as part of the interdisciplinary degree programme. The doctoral committee may also stipulate additional achievements totalling at least 10 credit points in agreement with the doctoral supervisor.
 6. Applicants who have completed a teacher training programme may be accepted for a doctorate in the major subject of education (general education, school education) if they have completed additional relevant work agreed with the supervisor amounting to at least 15 credit points.
 7. A degree in economics is considered a sufficient prerequisite for admission to doctoral studies in the subject of sport science in accordance with No. 2 if the doctorate is sought in the subject area of sport economics/sport management.
 8. If a subject from the History department is chosen for the doctorate, a sound knowledge of two foreign languages must be demonstrated. For a doctorate in Ancient History, Medieval History and Early Modern History, the Latinum is a prerequisite.
 9. He or she must not have shown himself or herself to be unworthy of holding a doctoral degree through his or her conduct
 10. He or she must not have failed this or a similar doctoral examination on the final attempt.
 11. He or she must not have already been accepted for a doctorate in the same subject at another university or doctoral institution.
- (4) The requirement pursuant to para. 3 no. 2 shall be deemed to have been met if the applicant has passed the doctoral aptitude assessment pursuant to § 9 or if a certificate of admission to a doctoral programme has been submitted.
- (5) ¹Examination achievements that have been completed at universities outside the area of application of the Basic Law shall be recognised by the doctoral committee upon application as an admission requirement if they are equivalent to a final examination specified in paragraph 3 no. 2. ²Equivalency agreements approved by the Standing Conference of the Ministers of Education and Cultural Affairs of the Länder and the German Rectors' Conference must be observed. ³If such equivalence agreements do not exist, the Doctoral Committee may obtain an opinion from the

Central Office for Foreign Education of the Standing Conference of the Ministers of Education and Cultural Affairs.⁴ The applicant may submit the application for recognition of examinations taken abroad before submitting the application for acceptance for doctoral studies.

- (6) The application for acceptance as a doctoral candidate must be accompanied by the documents required to prove that the requirements specified in paragraph 3 have been met, the supervision agreement in accordance with § 7 and declarations by the applicant in accordance with paragraph 3, nos. 9 to 11.
- (7) ¹The applicant shall receive a confirmation signed by the chairperson of the doctoral committee that the requirements for acceptance for the doctorate have been met. ²Acceptance for the doctorate shall be refused if the requirements specified in para. 3 are not met. ³Section 4 (3) shall apply.

§ 9

Assessment of suitability for doctoral studies

- (1) Applicants who fulfil the following requirements may undergo a procedure to determine their suitability for doctoral studies:
1. Graduates of degree programmes leading to a Bachelor's degree (fast-track), Diplom (FH), teaching degree who have passed their final examination with the overall grade "good" and who have completed a thesis that was graded "very good".
 2. Applicants who wish to do a doctorate in a subject other than the one they have previously studied and who do not fall under Section 8 (3) Nos. 3 to 7.
 3. Graduates who have obtained a university degree outside the area of application of the Basic Law of the Federal Republic of Germany that has been recognised as non-equivalent and who have passed their final examination with an overall grade of "good" and have completed a thesis that has been assessed as "very good".
- (2) ¹The application for admission to the doctoral aptitude assessment must be submitted in writing to the Dean. ²The applicant must enclose the following with the application
1. A curriculum vitae with documents relating to their career.
 2. Proof of fulfilment of the admission requirements specified in para. 1 nos. 1 and 2.
 3. A declaration stating in which major subject and, if applicable, in which minor subjects he or she intends to pursue a doctorate.
 4. An official certificate of good conduct. In the case of foreign nationals, proof recognised as equivalent by the University of Bayreuth must be submitted. This may be an extract from the criminal record of the home country, a certificate of good character or a comparable

document. If the applicant is enrolled or in public service, the certificate of good conduct may be waived.

5. A declaration of a desired examiner for the doctoral aptitude assessment from the faculty.
- (3) Admission to the doctoral aptitude assessment shall be refused if the applicant
1. Does not fulfil the admission requirements specified in para. 1 nos. 1 and 2,
 2. has proven to be unworthy of holding the doctoral degree due to his or her behaviour,
 3. fails to submit the documents and declarations specified in paragraph 2, nos. 1 to 5 in full.
- (4) ¹The Dean shall decide on admission to the doctoral aptitude assessment in accordance with para. 2 or the refusal of admission to the doctoral aptitude assessment in accordance with para. 3. ²He or she shall inform the candidate of the result of the decision in writing; if admission is refused, § 13 para. 2 sentence 2 shall apply accordingly. ³All other decisions relating to admission to the doctoral aptitude assessment shall be made by the doctoral committee. ⁴The Dean shall ensure that the procedure is conducted properly.
- (5) ¹After admission to the doctoral aptitude assessment procedure, the appointed examiner for the doctoral aptitude assessment shall, in agreement with the Doctoral Committee, determine the coursework to be completed in the amount of at least 30 graded credit points in Bachelor's, Master's, Diplom or teacher training programmes at the University of Bayreuth relevant to the doctoral project; relevant coursework already completed may be credited. ²The coursework shall be completed by examinations in accordance with the examination regulations of the respective degree programme. ³The average grade of the examinations, weighted according to the credit points earned, must be at least "good". ⁴After successful completion of the examinations, the examination certificates are submitted to the named examiner for confirmation.

§ 10

Statistical requirements

- (1) ¹With the application for acceptance for doctoral studies, personal data of the doctoral candidate will be collected by the doctoral institution of the University of Bayreuth in accordance with the survey characteristics regulated in § 5 of the HStatG in order to implement the University's data collection obligations regulated in the currently valid version of the Higher Education Statistics Act (HStatG), stored automatically and processed for the fulfilment of legal tasks as well as for the purposes of legislation and planning in the higher education sector in accordance with § 1 para. 1 of the HStatG within the framework of the doctorate. ²In this respect, the applicant is obliged to co-operate and to provide personal data (Art. 7 para. 2 sentence 4 BayHIG).
- (2) ¹Data is regularly transmitted or passed on to the Bavarian State Office for Statistics in relation to the survey characteristics of the Higher Education Statistics Act of 2 November 1990 and to the

university administration for the purpose of presenting university statistics. ²The utilisation and processing of personal data is subject to the requirements of Art. 7 BayHIG.

§ 11

Admission to the doctoral examination

- (1) ¹The application for admission to the doctoral examination must be submitted in writing to the Dean. ²The application must be accompanied by
1. Confirmation of acceptance for the doctorate in accordance with Section 8 (7)
 2. Four copies of the dissertation and an electronic version. In the case of a cumulative dissertation in accordance with Section 14 (1), the individual publications must be included in the dissertation manuscript.
 3. The following affidavit:
"I hereby affirm in lieu of an oath that I have prepared this thesis without unauthorised assistance from third parties and without the use of aids other than those indicated; ideas taken directly or indirectly from external sources are identified as such. In addition, I confirm that I have not previously sought help from commercial doctoral advisors or agents, nor will I do so in the future.
The thesis has not been submitted in the same or a similar form to any other examination authority in Germany or abroad and has not yet been published."
 4. A declaration of consent by the doctoral candidate that the electronic version of the dissertation may be subject to a separate review, while respecting his/her copyrights and data protection, and that investigations may be carried out by internal university bodies of academic self-governance in the event of suspected academic misconduct.
 5. A curriculum vitae of the doctoral candidate, which in particular provides information about the educational background.
 6. An official certificate of good conduct. In the case of foreign nationals, proof recognised as equivalent by the University of Bayreuth must be submitted. This may be an extract from the criminal record of the home country, a certificate of good character or a comparable document. If the doctoral candidate is enrolled or in public service, the certificate of good conduct may be waived.
 7. A declaration of the examiners desired by the doctoral candidate and the examination subjects chosen in the given case.
 8. If applicable, notification of the doctoral committee's approval to hold the oral examination in a foreign language.
 9. Applications pursuant to §§ 24 and 25, if applicable.

- (2) ¹The dean shall check whether the application for admission to the examination fulfils the requirements specified in para. 1. ²If this is not the case and the deficiencies are not rectified within a reasonable period set by the dean, the dean shall reject the application as inadmissible. ³Section 4 (3) sentence 6 applies accordingly.

§ 12

Decision on admission to the examination

- (1) If the application for admission to the doctoral examination fulfils the requirements specified in Section 11 (1), the dean shall submit it to the doctoral committee with a written statement as to whether the admission requirements have been met.
- (2) ¹The doctoral committee shall decide on admission to the doctoral examination. ²The decision should be made within one month of receipt of the application. ³Admission shall be refused if the admission requirements specified in § 11 are not met.

§ 13

Withdrawal

- (1) ¹The doctoral candidate may withdraw from the doctorate at any time, provided that no application for admission to the doctoral examination procedure has yet been submitted. ²If he or she withdraws from the doctorate at a time when he or she has not yet received a negative decision on the application for admission to the doctoral examination procedure, the dissertation shall be deemed not to have been submitted. ³In this case, the supervision agreement pursuant to § 7 shall be deemed cancelled.
- (2) ¹If the doctoral candidate withdraws the application for admission after receiving a negative decision on the dissertation or after the oral examination has begun, the doctorate shall be deemed to have been terminated without success. ²The dean shall issue the doctoral candidate with a written decision on this, which must be justified and include information on legal remedies.
- (3) Applications in accordance with paragraphs 1 and 2 must be addressed to the Dean.

§ 14

Dissertation

- (1) ¹The dissertation must represent an independent scientific achievement and contribute to the solution of scientific questions. ²It should not have been published in its entirety and may not be identical to a previously written thesis. ³The dissertation should be written in German or English.

- ⁴The doctoral committee may allow the dissertation to be written in another foreign language if a member of the faculty who is authorised to examine supports the application in writing and takes on the supervision of the dissertation. ⁵In this case, a summary in German must be enclosed. ⁶In the subjects of sports science, education, psychology and philosophy, several individual theses by one candidate may be combined into one dissertation (cumulative dissertation). ⁷A cumulative doctorate is possible if the doctoral candidate can present at least three individual theses that have been published or accepted for publication in a peer-reviewed scientific journal at the time of acceptance of the dissertation. ⁸ The doctoral candidate should be the first author of at least one article, and at least one article should be written in English. ⁹The individual theses proposed as the overall dissertation must be internally related, for which an overall title must be formulated. ¹⁰The introduction and a summarising text should provide a critical classification in the research context. ¹¹The doctoral committee shall decide whether the overall dissertation is complete.
- (2) ¹If the supervisor of a dissertation leaves the faculty, he or she may continue to supervise the dissertation for up to two years after leaving and be appointed as a reviewer to assess the dissertation and as an examiner to conduct the oral examination, provided that he or she remains authorised to examine. ²The doctoral committee may extend the deadline in accordance with sentence 1 by a maximum of two years if there are important reasons.
- (3) ¹The dissertation must be submitted both in typewritten form and in electronic form - on a suitable data carrier. ²The written version must be bound, paginated and include a table of contents and a bibliography. ⁽³⁾ The literature used and other aids must be listed in full. ⁴Quotations or paraphrases from the literature must be labelled.

§ 15

Assessment of the dissertation

- (1) ¹After admission to the doctoral examination, the doctoral committee shall immediately appoint at least two authorised teachers as reviewers to assess the dissertation. ²At least one reviewer must represent or have represented the subject from which the topic of the dissertation was taken. At least one reviewer must be a member of the Faculty of Humanities & Social Sciences; Section 14 (2) remains unaffected.
- (2) In the case of doctorates with universities of applied sciences/HAW (§ 6), the two equal supervisors of the dissertation from the university of applied sciences/HAW and the university are generally appointed as reviewers.
- (3) Each reviewer shall submit a written report on the dissertation within a period of three months and propose the acceptance or rejection of the dissertation as well as a grade in accordance with Section 17 (1).

- (4) ¹Instead of rejection, each reviewer may propose that the dissertation be returned to the doctoral candidate for revision. ²Each reviewer may also propose that the acceptance of the dissertation be combined with the requirement that the doctoral candidate make changes or additions before publication; these must be adequately specified in the proposal.
- (5) The Doctoral Committee shall appoint a further reviewer if the reviewers' proposals for the assessment of the dissertation differ by more than one grade or with regard to the acceptance or rejection of the dissertation, or if a reviewer requests the appointment of a further reviewer.
- (6) ¹Once all reviews have been received, the dissertation and the reviews shall be made available to the authorised examiners of the faculty for a fortnight (during the lecture period) or four weeks (during the lecture-free period) by displaying them in the Dean's Office. ²The Dean shall inform the authorised examiners in writing of the start of the display period. ³They may comment on the expert reports in writing within the display period or submit an expert report on the dissertation themselves.
- (7) ¹After expiry of the display period, the doctoral committee shall decide on the assessment of the dissertation on the basis of the available expert opinions and any comments submitted in accordance with para. 6 sentence 3. ²If the dissertation is assessed with the grade "satisfactory" or a better grade, it shall be accepted; if it is assessed with the grade "unsatisfactory", it shall be rejected. ³At the meeting of the doctoral committee, the reviewers and the authorised examiners who have commented in accordance with paragraph 6 sentence 3 or who have submitted a review themselves shall be given the opportunity to express their opinion. ⁴The Doctoral Committee may combine the acceptance of the dissertation with the requirement for the doctoral candidate to make changes or additions before publication, which must be sufficiently specified (cf. para. 4 sentence 2); in this case, it shall commission a reviewer to check that the requirement has been fulfilled.
- (8) ¹The doctoral committee may appoint an additional reviewer or several additional reviewers before deciding on the assessment of the dissertation. ²In this case, the further procedure shall be governed by paragraphs 3, 4, 6 and 7.
- (9) ¹If the dissertation is rejected, the doctoral candidate may submit a revised dissertation within one year of notification of the rejection; upon application by the doctoral candidate, the Dean may extend this period once by a maximum of one year. ²The further procedure shall be governed by paragraphs 1 to 8. ³If the doctoral candidate fails to submit the revised new dissertation within the deadline or if the revised dissertation is also rejected, the doctoral procedure shall be terminated without success; § 13 paragraph 2 sentence 2 shall apply accordingly.
- (10) ¹The Doctoral Committee may return a dissertation to the doctoral candidate for revision if it should be rejected. ²In this case, the doctoral candidate may also submit a new dissertation instead of the revised version. ³He or she must submit the revised or new dissertation within one

year of returning the dissertation; at the request of the doctoral candidate, the Dean may extend this deadline once by a maximum of one year. ⁴A revised dissertation shall be assessed by the reviewers appointed for the original dissertation, insofar as they are still available; otherwise, paragraphs 1 to 8 shall apply. ⁵If the doctoral candidate fails to submit the revised or new dissertation on time or if the revised or new dissertation is also rejected, the doctoral procedure shall be terminated without success; Section 13 (2) sentence 2 shall apply accordingly.

- (11) ¹After the decision on the assessment of the dissertation and before the oral examination, the doctoral candidate shall be granted access to the expert reports. ²The chairperson of the doctoral committee shall determine the time and place of the inspection.

§ 16

The oral examination (viva voce)

- (1) The oral examination may be conducted in the form of individual examinations or as a scientific colloquium; the doctoral candidate may choose between these two forms.
- (2) The oral examination in the form of individual examinations shall cover the major subject and the two minor subjects.
- (3) ¹The scientific colloquium is a collegial individual examination. ²The discussion shall be based on questions related to the dissertation. ³It should also show whether the doctoral candidate is proficient in other areas of the subject in which the dissertation was written. ⁴The perspective of a further subject proposed by the doctoral candidate must be included. ⁵In the case of an interdisciplinary doctoral project (e. g. Research Training Group), the interdisciplinary study programme should be taken into account in the examination. ⁶With the consent of the doctoral candidate, other doctoral candidates at the Faculty of Humanities & Social Sciences may be admitted to the scientific colloquium as listeners upon request.
- (4) ¹In the case of individual examinations, the doctoral committee shall appoint one examiner for the major subject and one examiner for each of the two minor subjects. ²An expert assessor shall be assigned to each of the aforementioned examiners. ³In the case of the scientific colloquium, the doctoral committee shall appoint the two reviewers of the dissertation and an authorised lecturer from the other subject chosen by the doctoral candidate in accordance with Section 5 (1) as examiners. ⁴An expert assessor shall be assigned to the examiners. ⁵If an assessor is unable to attend, the doctoral committee shall appoint a deputy.
- (5) ¹The Dean shall set the dates for the individual examinations or the date of the scientific colloquium and shall invite the doctoral candidate to the respective examination in writing at least 14 days before the date. ²Individual examinations should be conducted within four weeks. ³The chair-

person of the doctoral committee should endeavour to ensure that the period between the application for admission to the doctoral examination and the final colloquium does not exceed three months.

- (6) The individual examinations shall last at least 60 minutes in the major subject and at least 20 minutes in each of the minor subjects; the scientific colloquium shall last at least 90 minutes.
- (7) ¹Each examiner shall assess the performance of the doctoral candidate in the subject examined by him/her or the performance in the entire scientific colloquium with a grade in accordance with § 17 (1). ²The oral examination is passed if the doctoral candidate has achieved at least the grade "satisfactory" in all subjects of the individual examinations or if no examiner has awarded the grade "unsatisfactory" in the scientific colloquium.
- (8) ¹The assessor shall prepare a record of the subjects and the course of the respective examination and the grades awarded by the examiners. ²These are to be signed by the examiner or examiners and the assessors.
- (9) ¹Once all transcripts are available and the oral examination has been passed, the dean calculates the overall grade. ²This is calculated from the average of the grades awarded by the examiners, whereby in the case of individual examinations the grade for the main subject is counted twice. ³When calculating the overall grade, two decimal places are taken into account without rounding.
- (10) ¹If the oral examination has not been passed, the Dean shall inform the doctoral candidate accordingly, stating the reasons and providing information on how to appeal. ²The doctoral candidate may repeat the failed oral examination once. ³In the case of individual examinations, the examinations passed in individual subjects shall be taken into account. ⁴If the scientific colloquium is not passed, it can only be repeated once as a whole. ⁵The application to repeat the oral examination must be submitted to the Dean within one year of the announcement that the oral examination has not been passed; the Dean may extend this deadline on request for special reasons for which the doctoral candidate is not responsible. ⁶If the doctoral candidate does not apply to retake the oral examination in due time or fails the oral examination even if it is retaken, the doctoral examination procedure shall be terminated without success; sentence 1 shall apply accordingly.
- (11) The doctoral examination procedure shall be deemed to have been terminated without success if the doctoral candidate does not appear for the oral examination for reasons for which he or she is responsible or withdraws from the oral examination after it has begun; Section 13 (2) sentence 2 shall apply accordingly.

§ 17

Assessment of doctoral achievements, overall grade

- (1) The dissertation and the work required in the viva voce shall each be assessed with one of the following grades:
- | | | |
|------------------------------|---|--------------------|
| with distinction (0; 0.3) | = | "summa cum laude", |
| very good (0.7; 1.0; 1.3) | = | "magna cum laude", |
| good (1.7; 2.0; 2.3) | = | "cum laude", |
| satisfactory (2.7; 3.0; 3.3) | = | "rite", |
| unsatisfactory (4.0). | | |
- (2) ¹The overall grade of the doctorate shall be determined by the Dean; it shall be the arithmetic mean of the grade of the dissertation and the overall grade of the viva voce, whereby the grade of the dissertation shall be counted twice. ²Two decimal places are taken into account without rounding. ³An average of
0.00 to 0.50 the grade "summa cum laude",
0.51 to 1.50 the grade "magna cum laude",
1.51 to 2.50 the grade "cum laude",
2.51 to 3.30 the grade "rite".
- (3) ¹Once the overall grade of the doctorate has been determined, the Dean shall issue the doctoral candidate with an examination certificate. ²It shall contain the overall grade, the grade of the dissertation and the overall grade of the viva voce examination. ³The examination certificate shall be signed by the Dean on the date of the last examination; it does not authorise the holder to use the doctoral degree.

§ 18

Inspection of files

¹After receipt of the examination certificate in accordance with Section 17 (3) or after the unsuccessful completion of the doctoral examination procedure, the doctoral candidate may inspect the doctoral documents. ²The Dean shall determine the time and place of the inspection. ³The application must be submitted to the Dean of the Faculty of Humanities & Social Sciences within one month of receipt of the examination certificate in accordance with Section 17 (3) or the unsuccessful completion of the doctoral examination procedure. ⁴The procedure for inspection in these and other cases in which a request for inspection is made is governed by Art. 29 et seq. of the Bavarian Administrative Procedure Act (BayVwVfG).

§ 19

Invalidity

- (1) If the doctoral candidate has cheated in a doctoral performance, the doctoral committee shall declare the doctoral examination failed; if the doctoral examination procedure has not yet been completed, it shall discontinue it.
- (2) If the cheating only becomes known after the examination certificate or doctoral degree certificate has been issued, the doctoral committee shall withdraw it.
- (3) If the requirements for admission to the doctorate were not met without the doctoral candidate intending to deceive and this fact only becomes known after the examination certificate has been issued, this deficiency shall be cured by passing the examination.
- (4) ¹In all other respects, the withdrawal of admission to doctoral studies and the withdrawal of the doctoral degree shall be governed by the statutory provisions. ²The doctoral committee is responsible for the decision.
- (5) In the cases of paragraphs 1, 2 and 4, the person concerned must be given the opportunity to make a statement before the decision is made.

§ 20

Reproduction of the dissertation and delivery of the deposit copies

- (1) ¹The doctoral candidate must make the dissertation available to the academic public by means of reproduction and dissemination. ²Prior to reproduction, he or she must submit a copy of the dissertation to the Dean for authorisation to reproduce; if necessary, he or she must enclose a confirmation from the reviewer appointed in accordance with Section 15 (7) sentence 4 that the requirement to amend or supplement the dissertation has been fulfilled.
- (2) Within two years of receiving the examination certificate, the doctoral candidate must submit the following deposit copies to the faculty free of charge:
 1. 40 copies in book or photo print or
 2. three copies in typewritten form suitable for copying and 50 further copies in the form of microfiches, CD-ROMs or similar data carriers.
- (3) ¹If the dissertation is published unabridged in a journal or if a commercial publisher undertakes the distribution of the unabridged dissertation via the book trade, the doctoral candidate may submit five copies of the publication instead of the mandatory copies specified in para. 2. ²In these cases, a minimum print run of 150 copies must be demonstrated. ³In the case of a cumulative dissertation, sentence 2 shall apply accordingly. ⁴If the dissertation is written as a monograph, the

publication must be clearly labelled as a dissertation in an appropriate place, stating the location of the dissertation.

- (4) ¹The dissertation may also be published in electronic form, the data format and data carrier of which must be agreed with the university library. ²In this case, five copies of the publication must be submitted in printed, bound form. ³The doctoral candidate assures that the electronic version corresponds to the accepted dissertation. ⁴He or she transfers to the University Library and the DDB (Die Deutsche Bibliothek) the right to store this version, to publish it in data networks for an unlimited period of time and to make it accessible to other databases. ⁵The doctoral candidate is obligated to check the metadata and the network version of his/her dissertation for completeness, correctness and legibility after it has been processed by the University Library. ⁶In the case of a cumulative dissertation, the doctoral candidate shall ensure that the selected original publications are included in the dissertation with a letter of permission from the respective publisher. ⁷All other original publications must be listed with bibliographic details. ⁸The submission of files that do not comply with the required specifications regarding file format and data transfer shall not be considered a publication.
- (5) When submitting the deposit copies, the doctoral candidate must provide a written declaration that the content of the deposit copies corresponds to the version for which the reproduction licence was granted.
- (6) At the request of the doctoral candidate, the Doctoral Committee may authorise the dissertation to be published in a foreign language.
- (7) In the cases of para. 2, the doctoral candidate must transfer to the University the right to produce and distribute further copies of his or her dissertation.
- (8) The Doctoral Committee may extend the deadline for submission of the deposit copies at the request of the doctoral candidate.
- (9) If the doctoral candidate fails to meet the deadline for submitting the deposit copies, all rights acquired through the successful completion of the doctoral examination procedure shall expire; Section 13 (2) sentence 2 shall apply accordingly.

§ 21

Certificate and completion of the doctorate

- (1) ¹If the requirements specified in § 20 are fulfilled, the doctoral candidate shall receive a certificate confirming that he or she has passed the doctoral examination.
- (2) ¹The certificate, written in German, shall contain
- the name of the university and the faculty

- the first and last names of the doctoral candidate, date and place of birth,
- the academic degree awarded,
- the title of the dissertation,
- the date of the oral examination,
- the overall grade of the doctorate,
- the name and signature of the President of the University of Bayreuth
of the University of Bayreuth and the Dean of the Faculty,
- the seal of the University of Bayreuth.

²The date of issue of the certificate is the date of the oral examination. ³In addition, an English translation will be provided.

- (3) In the case of doctorates with universities of applied sciences/universities of applied sciences (§ 6), the participating university of applied sciences/university of applied sciences shall also be listed on the certificate.
- (4) ¹The certificate and its translation shall be issued by the Dean. ²The doctorate is completed and the doctorate finished when the certificate is handed over; this entitles the doctoral candidate to use the academic degree of Doktor/in der Philosophie (Dr. phil.).
- (5) The Dean may authorise the doctoral candidate to use the doctoral degree for a limited period before the award of the certificate if he or she has fulfilled the requirements specified in § 20 or if the publication of the dissertation and the delivery of the deposit copies are sufficiently guaranteed by a binding declaration from the publisher.

§ 22

Doctorate under joint supervision with a foreign educational institution

- (1) A doctorate carried out jointly with a foreign educational institution requires that
 1. the applicant fulfils the requirements for acceptance for doctoral studies (§ 8) and the requirements for admission to the doctoral examination procedure (§ 11) both at the Faculty of Humanities & Social Sciences and in accordance with the corresponding regulations at the partner institution,
 2. the foreign educational institution has the right to award doctorates under its national legislation and the academic degree to be awarded by it would be recognised within the scope of the German Higher Education Framework Act,
 3. a contract is concluded with the foreign educational institution on the implementation of the joint doctorate, which must be approved by the Faculty Council.

- (2) ¹In accordance with the more detailed provisions of the contract pursuant to para. 1 no. 3, the Faculty of Humanities & Social Sciences at the University of Bayreuth or the foreign educational institution may be in charge of the procedure. ²The contract must contain regulations on the number of copies to be submitted in accordance with § 11 and the mandatory copies to be submitted in the event of success (§ 20). ³The doctoral candidate shall receive a copy of the contract.
- (3) ¹The dissertation shall be submitted to the lead educational institution. ²Section 10 remains unaffected. ³A dissertation which has already been submitted to one of the participating educational institutions and accepted or rejected prior to the conclusion of a contract in accordance with para. 1 no. 3 cannot be the subject of a joint doctorate.
- (4) ¹The lead institution shall appoint reviewers for the dissertation. ²At least one reviewer must be a member of the Faculty of Humanities & Social Sciences at the University of Bayreuth. ³If the reviews are not written in German or English, the supervising institution shall provide translations into one of these languages. ⁴Upon receipt of the reviews, these and the dissertation shall be submitted to both participating educational institutions. ⁵Each of the educational institutions shall decide independently on the acceptance of the thesis and its assessment; Section 15 (6) to (8) shall remain unaffected. ⁶If one of the two educational institutions rejects the dissertation, the joint procedure shall be terminated. ⁷If the dissertation has only been rejected by the foreign educational institution, the procedure shall be continued at the University of Bayreuth in accordance with the general provisions of these Doctoral Degree Regulations.
- (5) ¹If the dissertation has been accepted by both institutions, the oral examination shall take place at the lead institution. ²Equal participation of both institutions in the examination committee must be ensured; this can be achieved through equal representation or weighting of votes. ³Section 16 (7) applies to the vote of the representatives of the University of Bayreuth. ⁴If the lead institution is the University of Bayreuth, the professors of the foreign educational institution may also be invited in deviation from Section 16 (5). ⁵If the representatives of one of the two institutions refuse to accept the oral examination, the joint examination procedure shall be terminated; para. 4 sentence 7 shall apply accordingly.
- (6) ¹After successful completion of the doctoral procedure under joint supervision with a foreign educational institution, a joint doctoral certificate signed by both institutions shall be issued in deviation from Section 21, stating that this is a doctoral degree for academic achievement awarded jointly by both participating institutions. ²The agreement pursuant to para. 1 no. 3 shall ensure that any additional foreign certificate awarded contains a reference to the joint doctoral procedure with the University of Bayreuth.

§ 23

Honorary doctorate

- (1) ¹The faculty may award an honorary doctorate for extraordinary academic or artistic achievements. ²The honorary doctorate procedure shall be initiated upon the substantiated application of at least one third of the faculty's professors. ³The application must be submitted to the Dean. ⁴He or she shall convene the extended doctoral committee.
- (2) ¹The extended doctoral committee shall appoint at least two professors to assess the extraordinary academic or artistic achievements of the person to be honoured. ²When the reviews are available, it shall submit them to the Faculty Council together with the application and its own statement.
- (3) The Faculty Council shall decide on the award of the honorary doctorate, taking into account the application, the expert reports and the opinion of the extended doctoral committee.
- (4) ¹The President and the Dean shall award the honorary doctorate by presenting the honoured person with a certificate. ²The academic achievement shall be recognised in the certificate.

§ 24

Consideration of protective provisions

- (1) ¹The utilisation of protection periods under the Maternity Protection Act must be guaranteed. ²Upon application, the utilisation of parental leave in accordance with the Federal Parental Allowance and Parental Leave Act as well as the periods for the care of a close relative within the meaning of Section 7 (3) of the Care Leave Act who is in need of care within the meaning of Sections 14 and 15 of the Eleventh Book of the Social Security Code must be guaranteed. ³The relevant evidence must be kept; changes in the requirements must be notified immediately.
- (2) ¹Upon application, periods during which the doctorate cannot be pursued or can only be pursued to a very limited extent for reasons for which the doctoral candidate is not responsible shall not be counted towards time limits. ²The relevant evidence must be provided; in the case of illness, medical certificates must be submitted. ³Changes to the requirements must be notified immediately.

§ 25

Consideration of special needs of people with disabilities or chronic illness and in special circumstances

- (1) ¹In order to ensure equal opportunities, the special concerns of applicants/doctoral candidates with disabilities or chronic illnesses within the meaning of the Bavarian Equal Opportunities for Persons with Disabilities Act shall be taken into account in an appropriate manner. ²Upon written application by the applicant or doctoral candidate, the doctoral committee shall determine, depending on the severity of the proven examination disability or chronic illness, the form in which the examination is to be taken or shall grant an extension of working hours or other reasonable accommodations. ³Proof of the examination disability or chronic illness must be provided in the form of a medical certificate stating that the examination cannot be taken in full or in part in the intended form due to a prolonged or permanent disability or chronic illness. ⁴The application must be attached to the application for acceptance for doctoral studies. ⁵If the application is submitted later, it shall only apply to future achievements.
- (2) ¹Candidates/doctoral candidates in special circumstances may apply to the Doctoral Committee for reasonable accommodations in accordance with para. 1. ²The doctoral committee shall decide on the existence of a special life situation and the extent of the reasonable accommodations.

§ 26

Entry into force, transitional provisions

- (1) ¹These doctoral regulations shall enter into force on 16 September 2017. ²At the same time, the Doctoral Regulations for the Faculty of Humanities & Social Sciences of the University of Bayreuth dated 1 September 2009 in the version dated 5 March 2015 shall cease to be in force.*)
- (2) For applicants who have already received a confirmation of supervision before these doctoral regulations come into force, the provisions of these regulations shall apply with the following provisos:
- the confirmation of supervision replaces the supervision agreement to be concluded in accordance with § 7 of these regulations
 - the other requirements for acceptance for the doctorate in accordance with § 8 must be proven by these applicants with the application for admission to the doctoral examination procedure in accordance with § 11.

*) The amended version of 30 March 2023 contains the following entry into force provision:

These regulations shall enter into force with effect from 31 March 2023.