

**Habilitation Regulations  
for the Faculty of Life Sciences:  
Food, Nutrition & Health  
at the University of Bayreuth  
dated 20 February 2025**

On the basis of Art. 9 sentence 1 in conjunction with Art. 98 para. 7 sentences 1 and 2 of the Bavarian Higher Education Innovation Act (BayHIG), the University of Bayreuth issues the following habilitation regulations for the Faculty of Life Sciences: Food, Nutrition & Health.

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## 1. General provisions

### § 1

#### General principles

- (1) <sup>1</sup>The habilitation serves to formally establish the academic and pedagogical aptitude to become a professor in a specific subject area at universities (teaching qualification). <sup>2</sup>The aim of the habilitation procedure to give particularly qualified early-career scholars the opportunity to carry out independent research and teaching tasks and to qualify them for appointment to a professorship within four years, if possible, under the academic supervision of a specialist mentor; it should enable the habilitation candidate to develop an international academic profile.
- (2) <sup>1</sup>The subject area must be represented by at least one professorship at the Faculty of Life Sciences: Food, Nutrition & Health. <sup>2</sup>If the habilitation is also possible at another faculty of the University of Bayreuth, a representative of this faculty shall be appointed to the extended faculty council with voting rights in the corresponding habilitation procedure; the Faculty of Life Sciences: Food, Nutrition & Health shall coordinate with the faculty concerned. <sup>3</sup>If a subject area is not represented in the Faculty of Life Sciences: Food, Nutrition & Health, but is represented in another faculty of the University of Bayreuth, a professor representing this subject area from the other faculty shall be appointed to both the subject mentoring team and - if responsible - the extended faculty council of the Faculty of Life Sciences: Food, Nutrition & Health, as far as the habilitation procedure is concerned, in which the teaching qualification for this subject area is to be determined; the representative shall be placed for this habilitation procedure like a second member of the faculty. <sup>4</sup>The representative shall be appointed at the request of the faculty council of the Faculty of Life Sciences: Food, Nutrition & Health in agreement with the faculty council of the delegating faculty; he or she shall report to the faculty on the progress of the procedure.

### § 2

#### Responsibility for the habilitation procedure

<sup>1</sup>The Faculty of Life Sciences: Food, Nutrition & Health is responsible for conducting the habilitation procedure in accordance with the following provisions. <sup>2</sup>The dean has the right to inform himself or herself about the status of the habilitation procedure and to work towards its proper conduct; he or she keeps the habilitation file. <sup>3</sup>If the faculty or its facilities are unable to provide with the basic funding required to carry out a habilitation procedure, the consent of the University Governing Board must be obtained before the procedure begins.

### § 3

#### **Rights of participation**

<sup>1</sup>In addition to the members of the faculty council, professors who are not members of the faculty council in accordance with Art. 57 Para. 1 BayHIG, including the second members in accordance with Art. 37 Para. 3 BayHIG, have the right to vote on all decisions of the faculty relating to the habilitation procedure in accordance with more detailed regulations in the constitution of the University of Bayreuth. <sup>2</sup>The professors within the meaning of sentence 1 shall be invited to the meetings of the faculty council in due time. <sup>3</sup>Insofar as the faculty council makes decisions as part of the habilitation procedure, only those members who are qualified in accordance with Art. 57 para. 1 sentence 1 no. 4 BayHIG may participate in the assessment of habilitation achievements. <sup>4</sup> In the event of a tie the dean has the casting vote.

## **2. Acceptance procedure**

### § 4

#### **Requirements for acceptance**

<sup>1</sup>The applicant may be accepted as a habilitation candidate if the following requirements are met:

- a) a successfully completed academic degree at a university or equivalent institution of higher education in Germany or abroad;
- b) the authorisation to use a doctoral degree awarded by a domestic university or an equivalent academic degree awarded by a domestic or foreign university;
- c) a special aptitude for scientific work, which is usually demonstrated by the outstanding quality of a doctorate and by corresponding scientific work and achievements;
- d) pedagogical aptitude, which is usually proven by teaching evaluations.

<sup>2</sup>The applicant may not have already failed in a habilitation procedure for the subject area for which the teaching qualification is to be established or for a related subject area.

### § 5

#### **Application for admission as a habilitation candidate**

<sup>1</sup>The application for acceptance as a habilitation candidate must be submitted in writing or electronically to the dean. <sup>2</sup>The application must be accompanied by:

1. Specification of the subject area for which the habilitation is to be carried out;

2. Proof of the applicant's doctorate or equivalent academic degree and a copy of the dissertation or equivalent academic work;
3. A curriculum vitae that provides information in particular about the applicant's scientific training and academic activities;
4. A report on the applicant's research work and teaching and lecture events held to date, as well as other scientific and educational achievements;
5. A list of scientific publications, categorised by peer-reviewed and non-peer-reviewed;
6. A recent official certificate of good conduct; foreign applicants must submit an equivalent certificate (e.g. an extract from the criminal record of their home country, a certificate of good character or a comparable document); the certificate of good conduct may be waived for members of the University of Bayreuth.
7. a declaration that
  - a) the applicant has not applied for a habilitation procedure at another university for the subject area for which he or she is seeking the teaching qualification, which has not yet been completed;
  - b) the applicant has not already failed once in a habilitation procedure in the intended subject area;
  - c) the applicant has not been deprived of an academic degree or facts exist that justify the deprivation of an academic degree;
8. A proposal for the desired composition of the specialised mentoring team;
9. Confirmation of the possibility of independent and autonomous research (basic funding) over the period of the habilitation procedure, usually at the University of Bayreuth.

## § 6

### Formal examination of the application

<sup>1</sup>If the application fulfils the requirements of § 5, the dean shall submit it to the faculty council at the next meeting. <sup>2</sup>Otherwise, he or she shall set a reasonable deadline for the applicant to complete the application. <sup>3</sup>If the application is not completed within this period, the dean shall reject it in writing as inadmissible, stating the reasons.

## § 7

### Acceptance as a habilitation candidate

- (1) <sup>1</sup>The faculty council shall decide on acceptance as a habilitation candidate in accordance with § 3. <sup>2</sup>The faculty council shall decide by a simple majority of those present. <sup>3</sup>The faculty council shall constitute a quorum if at least half of the members of the faculty council are present. <sup>4</sup>The dean shall notify the applicant in writing of the faculty council's decision on acceptance as a habilitation candidate. <sup>5</sup>The habilitation procedure begins with the notification of acceptance. <sup>6</sup>A negative decision must be justified and accompanied by information on the right to appeal.
- (2) Acceptance as a habilitation candidate must be refused if
  - a) one of the requirements under § 4 sentence 1 is not fulfilled or
  - b) one of the reasons pursuant to § 5 sentence 2 no. 7 letters a, b or c applies.
- (3) If criminal proceedings are pending for a criminal offence that could result in the withdrawal of an academic degree, the decision on acceptance shall be suspended until the criminal proceedings have been legally concluded.
- (4) Acceptance is to be revoked if, in the course of the habilitation procedure, one of the requirements according to § 4 sentence 1 is no longer fulfilled.
- (5) <sup>1</sup>The status as a habilitation candidate is generally limited to four years plus the duration of the assessment procedure. <sup>2</sup>The specialist mentoring team shall extend the duration of the status as a habilitation candidate or habilitation candidate if there are special reasons, in particular those set out in Section 12, and in the case of habilitation candidates who are not members of the university

### 3. Implementation of the habilitation procedure

## § 8

### Specialist mentoring team

- (1) <sup>1</sup>After acceptance as a habilitation candidate, the extended faculty council shall immediately appoint a specialist mentoring in accordance with the requirements in § 1 para. 2 to support, evaluate and academically assess the work agreed in the habilitation procedure. <sup>2</sup>The specialist mentoring team shall consist of a chairperson and at least two other members. <sup>3</sup>The members of the mentoring team must be professors or university lecturers. <sup>4</sup>At least one member of the mentoring team must be a professor in accordance with Art. 57 Para. 1 BayHIG of the faculty and another

member of the mentoring team must be a professor in accordance with Art. 57 Para. 1 BayHIG of the University of Bayreuth. <sup>5</sup>One member may belong to another university; further external members may belong to the specialised mentoring team in an advisory capacity. <sup>6</sup>In order to safeguard interdisciplinary interests, at least one member of the subject mentoring team should represent a subject other than the one intended by the habilitation. <sup>7</sup>The habilitation candidate may submit proposals for the composition of the specialist mentoring team as part of the application in accordance with § 5.

- (2) <sup>1</sup>If a member of the specialist mentoring team resigns for important reasons, in particular due to continued appointment, illness or death, the faculty council shall appoint a replacement. <sup>2</sup>The faculty council shall decide on the existence of important reasons. <sup>3</sup>In this case, the habilitation candidate may submit proposals for a replacement.
- (3) <sup>1</sup>The specialist mentoring team acts in the name of and on behalf of the faculty. <sup>2</sup>Secret ballots, transfers of voting rights and abstentions are not permitted for decisions made by the specialist mentoring team.
- (4) <sup>1</sup>The specialist mentoring team shall agree in writing with the habilitation candidate on the type and scope of the research and teaching achievements required for the acquisition of the teaching qualification; this must also include the ability to acquire third-party funding. <sup>2</sup>The written agreement must be reviewed by the faculty council and confirmed by a simple majority. <sup>3</sup>§ 1 para. 2 sentence 3 must be taken into account when appointing the specialist mentoring team. <sup>4</sup>The specialist mentoring team accompanies the progress of the qualification in research and teaching.

## § 9

### Assessment of the habilitation achievements

- (1) In the habilitation procedure, the habilitation candidate must provide evidence of
  1. The ability to carry out independent research by submitting a habilitation thesis, which regularly consists of a summary of several specialist publications, each of which is important in its own right (cumulative habilitation), which reveal a coherent research topic in the field of the desired teaching qualification. In exceptional cases, a monograph may also be recognised as part of the habilitation or the entire habilitation. Whether the requirements of sentence 1 are met depends on the content of the academic performance requirements determined by the specialist mentoring team and confirmed by the faculty council in accordance with § 8 para. 4 sentence 1. In the case of a cumulative habilitation thesis, the accompanying academic publications must be accompanied by a comprehensive synthesis. The written habilitation thesis must make a significant contribution to scientific knowledge in the field of the desired teaching qualification. The author's own contribution must be recognisable. The habilitation thesis should preferably be submitted in English. In

exceptional cases, a habilitation thesis may also contain publications in German or be written entirely in German. Academic work that has already been submitted as a degree thesis or dissertation cannot be used as written habilitation work.

2. The pedagogical aptitude for academic teaching through the independent and successful organisation of courses, for which the faculty will give him or her the opportunity. The habilitation candidate should provide teaching services in the subject area he or she represents to an extent decided by the specialist mentoring team (usually four semester hours per week). He or she shall submit an overview of teaching achievements including the results of the teaching evaluation in accordance with Art. 40 BayHIG. Pedagogical aptitude must also be demonstrated through a public lecture. The subject of the lecture is to be determined by the specialist mentoring team. The student body must be involved in the lecture.
  3. A sufficient breadth of scientific knowledge through a public lecture on a current topic in the subject in which the habilitation is sought, followed by a discussion. The topic of the public lecture is determined by the specialist mentoring team at the suggestion of the habilitation candidate.
- (2) <sup>1</sup>The dean, in agreement with the faculty mentoring team, shall entrust habilitation candidates who are members of the university as academic staff with the independent performance of tasks in research and teaching. <sup>2</sup>Insofar as habilitation candidates are not members of the University Bayreuth, the specialist mentoring team shall ensure, in consultation with the faculty, that they qualify in academic teaching and have sufficient opportunity to teach.

## § 10

### Interim evaluation

- (1) <sup>1</sup>On the basis of the work performed up to that point, the specialist mentoring team shall carry out an interim evaluation after two years at the latest and report to the extended faculty council. <sup>2</sup>For this purpose, the habilitation candidate shall prepare a meaningful overview of the status of previous and planned achievements in teaching and research. <sup>3</sup>For the interim evaluation, a non-public academic discussion should take place with the members of the specialist mentoring team, preceded by a public presentation by the habilitation candidate on his or her research activities.
- (2) <sup>1</sup>If the specialist mentoring team determines that the agreed services are unlikely to be provided on the basis of the services provided to date, the faculty council may cancel the appointment of the specialist mentoring team. <sup>2</sup>The habilitation procedure is terminated when the appointment of the specialist mentoring team is cancelled. <sup>3</sup>In this case, the dean shall issue the habilitation candidate with a written notification within a reasonable period of time, stating the reasons and providing information on legal remedies.

## § 11

### Final evaluation in continued proceedings

- (1) For the final assessment, the habilitation candidate must submit the following to the specialist mentoring team:
  - a) updated declarations and information in accordance with § 5;
  - b) five copies of the written habilitation thesis in accordance with § 9 para. 1;
  - c) the overview of teaching achievements in accordance with § 9 para. 1 No. 2.
- (2) <sup>1</sup>The specialist mentoring team shall examine whether the written habilitation thesis fulfils the requirements of § 9 para. 2 and the subject area for which the teaching qualification is sought, and shall carry out a final academic assessment. <sup>2</sup>The chairperson of the specialist mentoring team shall prepare a written assessment. <sup>3</sup>The other mentors are also authorised to submit written reviews. <sup>4</sup>In addition, at least two reviews will be obtained from internationally recognised academics who are active in the relevant subject area outside the University of Bayreuth. <sup>5</sup>The reviewers are appointed by the specialist mentoring team. <sup>6</sup>The chairperson shall record the receipt of the reviews at and submit them to the dean with a proposal from the specialist mentoring team as to whether the habilitation thesis is to be recognised as a habilitation thesis. <sup>7</sup>The dean shall give the professors of the faculty in accordance with Art. 57 Para. 1 BayHIG, including the second members in accordance with Art. 37 Para. 3 BayHIG, the opportunity to take note of the reviews.
- (3) If the specialist mentoring team taking into account the expert opinions received, is in favour of continuing the procedure, it shall set a date for the presentation in accordance with § 9 para. 1 No. 3 in agreement with the dean and then come up with a proposal.
- (4) <sup>1</sup>The dean must bring about a decision by the faculty council on the proposal of the specialist mentoring team within four months. <sup>2</sup>If the specialist mentoring team has determined that the work required to establish the teaching qualification in accordance with § 9 para 1 has not been completed or has not been completed within the period specified in § 7 para. 5 and is unlikely to be completed within a reasonable grace period of a maximum of six months, the faculty council shall revoke the appointment of the specialist mentoring team; §10 para. 2 sentence 2 shall apply accordingly. <sup>3</sup>In the event of cancellation, the dean shall issue the habilitation candidate with a written notification within a reasonable period of time, stating the reasons and providing information on legal remedies.
- (5) <sup>1</sup>If all habilitation achievements are recognised as sufficient, the faculty council formally establishes the teaching qualification, specifying the subject area. <sup>2</sup>The dean shall inform the candidate of the result of the habilitation procedure. <sup>3</sup>The determination of the teaching qualification shall be refused if the candidate has been deprived of an academic degree or if there are facts which justify the deprivation of an academic degree.

## § 12

### **Consideration of protective provisions and special needs of people with disabilities or chronic illnesses and in special circumstances**

- (1) <sup>1</sup>The utilisation of periods of protection under the Maternity Protection Act must be guaranteed. <sup>2</sup>Upon application, the utilisation of parental leave in accordance with the Federal Parental Allowance and Parental Leave Act as well as the periods for the care of a close relative within the meaning of § 7 para. 3 of the Care Leave Act, who is in need of care within the meaning of § 14 and 15 of the Eleventh Book of the Social Security Code, shall be guaranteed. <sup>3</sup>The relevant evidence must be kept; changes in the requirements must be notified immediately.
- (2) <sup>1</sup>Upon application, periods during which the habilitation project is not possible or only possible to a very limited extent for reasons for which the habilitation candidate is not responsible will not be counted towards the time limits. <sup>2</sup>The relevant evidence must be provided; in the case of illness, medical certificates must be submitted. <sup>3</sup>Changes in the requirements must be notified immediately.
- (3) <sup>1</sup>In order to ensure equal opportunities, appropriate consideration must be given to the special needs of applicants and habilitation candidates with disabilities or chronic illnesses as defined by the Bavarian Equal Opportunities for Persons with Disabilities Act. <sup>2</sup>Proof of the disability or chronic illness must be provided in the form of a medical certificate stating that the habilitation cannot be completed in full or in part in the intended form due to a long-term or permanent disability or chronic illness. <sup>3</sup>The application must be attached to the application for acceptance as a habilitation candidate. <sup>4</sup>If the application is submitted later, it is only valid for the future.
- (4) Applicants and habilitation candidates in special circumstances may apply for reasonable accommodations in accordance with para. 3.

## § 13

### **Certificate**

- (1) <sup>1</sup>A certificate signed by the President the University of Bayreuth and the dean and bearing the seal of the University of Bayreuth shall be issued on successful completion of the habilitation procedure and handed over to the habilitation candidate, stating the subject area of the teaching qualification and bearing the date of assessment in accordance with §11 para. 4 and 5. <sup>2</sup>Upon confirmation of the teaching qualification, the habilitated person shall be awarded the academic degree of a habilitated doctor; they may use their doctoral title with the suffix "habil."
- (2) On the basis of the assessment of the teaching qualification, the University of Bayreuth shall, at the request of the habilitated candidate, grant the authorisation to teach in the subject area to which the teaching qualification relates.

- (3) The right to use the title "Privatdozentin" or "Privatdozent" is associated with the award of the teaching authorisation by the University of Bayreuth.

## § 14

### Right of inspection

<sup>1</sup>After completion of the habilitation procedure, the habilitated candidate or the candidate may, upon application to the dean, inspect the reports obtained in the habilitation procedure which are made available for inspection in anonymised form in the Dean's Office. <sup>2</sup>In these and other cases in which a request for inspection is made, the inspection procedure is governed by Art. 29 ff. BayVwVfG.

## § 15

### Entry into force

The present habilitation regulations enter into force on 21 February 2025.

Issued on the basis of the resolution of the Senate of the University of Bayreuth of 5 February 2025 and the approval of the President of the University of Bayreuth of 17 February 2025, Ref. N°A-3635 - I/1.

Bayreuth, 20 February 2025

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UNIVERSITY OF BAYREUTH  
THE PRESIDENT  
[*signature*]  
Professor Dr Stefan Leible

These regulations were filed at the university on 20 February 2025.

They were announced on 20 February 2025 by means of a notice at the university.

The date of the announcement is 20 February 2025.