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*Please note the regulations on entry into force in the respective amendment statutes!*

**Doctoral degree regulations  
for the Faculty of Law, Business & Economics  
of the University of Bayreuth  
of 20 May 2022  
in the version dated 5 June 2025**

On the basis of Art. 13 para. 1 sentence 2 in conjunction with Art. 64 para. 1 sentence 5 of the Bavarian Higher Education Act (BayHSchG), the University of Bayreuth issues the following doctoral degree regulations for the Faculty of Law, Business & Economics

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## **Preamble**

The Faculty of Law, Business & Economics at the University of Bayreuth promotes young academics and research by awarding doctorates: The Faculty is committed to national and international standards of quality assurance and aims to further develop these in cooperation with university lecturers, doctoral candidates, the Faculty and the University. Doctoral studies at the Faculty of Law and Economics are carried out within the framework of qualified supervisory relationships that ensure the supervision of academic work and external transparency.

## **Section One: General Provisions**

### **§ 1**

#### **Doctoral degrees**

- (1) <sup>1</sup>The University of Bayreuth awards the academic degrees of Doktor der Rechte (Dr. jur.) and Doktor der Wirtschaftswissenschaften (Dr. rer. pol.) through the Faculty of Law, Business & Economics. <sup>2</sup>The doctoral degree may also be awarded jointly with a foreign university or faculty on the basis of a procedure conducted in accordance with §§ 24 ff. <sup>3</sup>The degree may optionally be awarded as a doctorate. <sup>4</sup>The abbreviated form shall remain unchanged.
- (2) <sup>1</sup>In accordance with Art. 97 Para. 1 of the Bavarian Higher Education Innovation Act (BayHIG), the doctorate serves as proof of independent academic achievement, which must go considerably beyond the examination achievements required for acceptance to the doctorate in § 5 Para. 1 and 2 and § 6 Para. 1 and 2. <sup>2</sup>The doctorate to Dr. jur. requires doctoral achievements with a focus on the field of law, the doctorate to Dr. rer. pol. requires doctoral achievements with a focus on the field of economics. <sup>3</sup>The doctoral work consists of an academic paper (dissertation) written by the doctoral candidate and an oral examination (colloquium).
- (3) <sup>1</sup>The University of Bayreuth awards the degree Doktor der Rechte ehrenhalber (Dr. jur. h. c.) and Doktor der Wirtschaftswissenschaft ehrenhalber (Dr. rer. pol. h. c.) through the Faculty of Law, Business & Economics in accordance with §§ 21 ff. <sup>2</sup>It honours outstanding academic achievements or other special merits of a non-material nature in the field of law and economics. <sup>3</sup>Paragraph 1 sentences 3 and 4 apply accordingly.

### **§ 2**

#### **Examination authorisation and responsibilities**

- (1) <sup>1</sup>Authorised examiners (Art. 85 Para. 1 BayHIG in conjunction with § 4 Sentence 1 Hochschulprüferverordnung (HSchPrüferV)) within the meaning of these doctoral regulations are

full-time and part-time university lecturers (Art. 19 Para. 1 Sentences 1 and 3 BayHIG) as well as professors who have been relieved of their duties or are retired (Art. 19 Para. 1 Sentence 4 BayHIG).

<sup>2</sup>Examinations in the field of law are generally assessed by authorised examiners in accordance with sentence 1 of law, examinations in the field of economics are generally assessed by authorised examiners in accordance with sentence 1 of economics. <sup>(3)</sup> For doctorates carried out jointly with universities of applied sciences/universities of applied sciences, Section 37 and, in the case of binational doctorates, Section 4 of these Statutes shall apply.

- (2) <sup>1</sup>Decisions relating to the doctoral procedure shall be made by the Dean, unless these Doctoral Degree Regulations provide otherwise. <sup>2</sup>Appeals against the decisions of the Dean and the Examination Board may be made to the Doctoral Committee. <sup>3</sup>This does not affect the administrative legal process.
- (3) Exclusion due to personal involvement is governed by Art. 51 Para. 2 BayHIG in compliance with Art. 20 and Art. 21 of the Bavarian Administrative Procedure Act (BayVwVfG).

### § 3

#### Doctoral committee

- (1) <sup>1</sup>The Doctoral Committee shall draw up guidelines to specify the requirements and procedures in these doctoral degree regulations and for quality assurance in the area of academic requirements, which require the approval of the Faculty Council. <sup>2</sup>The guidelines concretising the standards shall be published on the Faculty's homepage. <sup>3</sup>The Doctoral Committee is informed about ongoing doctoral procedures, monitors the Dean's use of his or her powers and reports annually to the Faculty Council on the development of the doctoral system.
- (2) <sup>1</sup>The doctoral committee is chaired by the Vice Dean. <sup>2</sup>It also includes: two professors of law and two professors of economics, each within the meaning of Art. 19 para. 1 sentence 1 BayHIG, as well as one doctoral candidate without voting rights. <sup>3</sup>The dean may not be a member of the doctoral committee. <sup>4</sup>The members of the doctoral committee according to sentence 2 and, as a substitute representative of the voting members, one professor of law and one professor of economics are elected by the faculty council for a period of five years. <sup>5</sup>Re-election is permissible. <sup>6</sup>The voting members of the doctoral committee shall elect a deputy chairperson from among their number. <sup>7</sup>Section 2 (3) shall apply accordingly.
- (3) <sup>1</sup>The Doctoral Committee shall meet at least once a semester. <sup>2</sup>The Doctoral Committee shall constitute a quorum if all members have been duly invited and the majority of members are present and entitled to vote. <sup>3</sup>It passes resolutions by a majority of the votes cast. <sup>4</sup>Abstentions, secret ballots and proxy votes are not permitted. <sup>5</sup>In the event of a tie, the Chairperson shall have the casting vote.

- (4) <sup>1</sup>The decisions of the Doctoral Committee shall be communicated to the candidate and the doctoral candidate in writing. <sup>2</sup>Appeals against decisions shall be substantiated and accompanied by information on legal remedies.

## **Section Two: The Ordinary Doctorate**

### **§ 4**

#### **Acceptance for the doctorate, admission requirements of the doctoral examination procedure and statistical requirements**

- (1) Acceptance for the doctorate requires
1. that the candidate has concluded a supervision agreement in accordance with Section 8 (2) with a teacher authorised to conduct examinations in accordance with Section 2 sentence 1; there is no entitlement to the conclusion of a supervision agreement.
  2. that the applicant has not definitively failed this or a similar doctoral examination;
  3. that the applicant has not proven to be unworthy of holding the doctoral degree through his or her behaviour.
- (2) <sup>1</sup>Acceptance for the doctorate must be applied for in writing to the Dean. <sup>2</sup>The application must be accompanied by the documents required to prove the acceptance requirements specified in para. 1 no. 1 and § 5 or § 6 and a declaration by the applicant that he or she has not already been accepted for a doctorate in the same subject at another university or another doctoral institution of the University of Bayreuth.
- (3) For applicants who have been accepted by a newly appointed faculty member at the university to which they belonged prior to their appointment at the University of Bayreuth, the Dean may declare the admission requirements of the other university to be applicable in whole or in part.
- (4) The application is accompanied by online registration as an applicant with the faculty.
- (5) <sup>1</sup>If the general and special admission requirements are met, the dean shall approve the application for acceptance of the doctorate. <sup>2</sup>The doctorate shall commence upon receipt of the written confirmation of acceptance in accordance with sentence 1 from the faculty.
- (6) <sup>1</sup>With the application for acceptance for the doctorate, personal data of the doctoral candidate will be collected by the doctoral institution of the University of Bayreuth in accordance with the survey characteristics regulated in § 5 HStatG for the implementation of the University's data collection obligations regulated in the Higher Education Statistics Act (HStatG), stored automatically

and processed for the fulfilment of legal tasks as well as for the purposes of legislation and planning in the higher education sector in accordance with § 1 para. 1 sentences 1 and 2 HStatG in the context of the doctorate.<sup>2</sup>In this respect, the applicant is obliged to co-operate and to provide personal data (Art. 7 para. 2 sentence 4 BayHIG).<sup>3</sup>Data is regularly transmitted or passed on to the Bavarian State Office for Statistics in relation to the survey characteristics of the HStatG and to the university administration for the purpose of presenting university statistics.<sup>4</sup>The utilisation and processing of personal data takes place under the conditions of Art. 7 BayHIG.

(7) Admission to the doctoral examination procedure in accordance with § 9 also requires that

1. The submission of a dissertation;
2. that the dissertation or individual contributions to the cumulative dissertation have not already been submitted by the candidate for the award of an academic degree;
3. that the applicant has not made or will not make use of commercial doctoral mediation and counselling.

## **§ 5**

### **Special requirements for acceptance and the admission procedure for the doctorate in law**

(1) <sup>1</sup>In order to be accepted for a doctorate in law, the applicant must have passed the Referendarexamen (first examination within the meaning of Section 5 (1) DRiG) or the Assessorexamen (second state examination within the meaning of Section 5 (1) DRiG) or an equivalent law degree in the Federal Republic of Germany with at least "fully satisfactory" or with a grade corresponding to "fully satisfactory" within the meaning of the Bavarian Judicial Training and Examination Regulations. <sup>2</sup>A law degree obtained abroad may be recognised as a prerequisite for a doctorate in accordance with sentence 1 if

1. it corresponds in its nature and with regard to the assessment achieved to an examination passed with "fully satisfactory" within the meaning of sentence 1 of the Bavarian Judicial Training and Examination Regulations, or if
2. in addition, the degree of Magister Legum (LL.M.) was obtained at the University of Bayreuth or another German university with an overall grade of at least "magna cum laude" or a comparable overall grade.

(2) By way of derogation from para. 1, the Dean may exceptionally accept an applicant for a doctorate in law if

1. the applicant has passed an examination within the meaning of para. 1

- a) has passed with at least 8 points and
- b) has completed two seminars with a grade of at least "good"; at least one of these seminars must have been completed at the Faculty of Law and Economics at the University of Bayreuth with a teacher other than the supervisor of the dissertation who is authorised to conduct examinations.

or

- 2. the applicant who has not passed a law examination within the meaning of para. 1 or no. 1
  - a) has passed an examination which corresponds to the grade "fully satisfactory" within the meaning of the Bavarian Judicial Training and Examination Regulations and which authorises him or her to do a doctorate in his or her field of specialisation, and
  - b) the dissertation deals with a borderline area between his or her specialism and law, and
  - c) two authorised examiners from the faculty are in favour of the doctorate and one of them is responsible for supervising the dissertation.
- (3) <sup>1</sup>The dean shall decide on the question of the equivalence of examinations and examination grades in accordance with paragraphs 1 and 2, taking into account Art. 86 BayHIG. <sup>2</sup>In the case of foreign examinations and examination grades, he or she shall take into account the equivalence agreements approved by the Kultusministerkonferenz and the Hochschulrektorenkonferenz when making his or her decision. <sup>3</sup>In addition, the Central Office for Foreign Education may be consulted.
- (4) <sup>1</sup>The following additional admission requirements apply to the submission of a cumulative dissertation (Section 7 (2)) in the doctoral examination procedure:
  - a) The candidate must justify in writing or in text form why a cumulative dissertation is suitable and necessary for the specific doctoral project instead of the monographic dissertation.
  - b) The application must be accompanied by a statement from the supervisor explaining why there is an exceptional case that justifies a cumulative dissertation for academic reasons.
  - c) The application must be accompanied by the supervision agreement in accordance with Section 8 (2).

<sup>2</sup>The candidate must apply for a partial decision (Section 10 (4)) in which the Dean, in agreement with the Doctoral Committee, positively determines that the special admissibility requirements of this paragraph and Section 7 (2) are met. <sup>3</sup>The agreement of the Doctoral Committee shall be deemed to have been granted if it is not refused within four months of receipt of the application for a partial decision. <sup>4</sup>In the guidelines (§ 3 para. 1 sentence 1), the doctoral committee shall establish requirements to ensure that the quality of the doctorate in law is maintained.



## § 6

### **Special requirements for acceptance for the doctorate in economics**

- (1) <sup>1</sup>For a doctorate in economics, the applicant must have passed a Diplom or Master's examination in economics at a university, a comparable Master's examination at a university of applied sciences, the state examination for the higher teaching qualification for grammar schools specialising in economics or a comparable university degree with a grade of at least "good" or an equivalent assessment. <sup>2</sup>Section 5 (3) applies accordingly.
- (2) Notwithstanding paragraph 1, the dean may accept an applicant for a doctorate in economics if
  1. the applicant has passed an examination within the meaning of para. 1
    - a) has passed an examination within the meaning of paragraph 1 with a grade no worse than "satisfactory" or equivalent to this grade, and
    - b) the applicant has completed two seminars at the Faculty of Law, Business & Economics at the University of Bayreuth with a grade of at least "good"; at least one of these seminars must have been completed with an authorised examiner other than the dissertation supervisor.
  - or
  2. the applicant who does not have a degree in economics within the meaning of para. 1 or no. 1,
    - a) has passed an examination with a grade which
      - is no worse than "good" or corresponds to this grade level, or
      - is no worse than "satisfactory" or corresponds to this grade level, and the candidate has completed two seminars at the Faculty of Law and Economics of the University of Bayreuth which were graded at least "good"; at least one of these seminars must have been completed with a teacher other than the supervisor of the dissertation who is authorised to conduct examinations, and
    - b) the dissertation deals with a borderline area between his or her field of specialisation and economics, and
    - c) submits a supervision agreement,
      - which has been signed by two professors authorised to conduct examinations,

- which contains specific requirements (e.g. participation in suitable seminars) for the acquisition of scientific and methodological competences that meet the quality standards of a doctorate in economics, and
- which has been approved by the doctoral committee.

or

3. the applicant has a degree in economics from a university of applied sciences (or a comparable degree) with an examination grade of 1.7 or better and submits a supervision agreement,
  - a) which has been signed by two professors authorised to conduct examinations,
  - b) which contains specific requirements (e.g. participation in suitable seminars) for the acquisition of scientific and methodological competences that meet the quality standards of a doctorate in economics, and
  - c) which has been approved by the doctoral committee.

## **§ 7**

### **Dissertation**

- (1) <sup>1</sup>The dissertation must be an independent scientific work and contribute to the independent solution of scientific questions. <sup>2</sup>It should lead to new scientific findings.
- (2) <sup>1</sup>The independent academic work may consist of a monographic dissertation or an equivalent cumulative dissertation. <sup>2</sup>The cumulative dissertation consists of a collection of published or unpublished individual works of academic quality, which is also provided with an independent and substantial introduction and summary. <sup>3</sup>In the case of a doctorate in law, the cumulative dissertation is only possible in exceptional cases and is only equivalent to the monographic dissertation if the individual contributions are also categorised in a larger academic context, the connections between the individual contributions are worked out and these are interpreted, evaluated and discussed in a comprehensive manner .
- (3) <sup>1</sup>The dissertation must be submitted in typescript; it should be bound or stapled and paginated and also contain a table of contents and a summary setting out the problem and results. <sup>2</sup>The literature used and other auxiliary sources shall be cited in full; passages taken verbatim or almost verbatim from the literature shall be identified.
- (4) <sup>1</sup>In the case of a cumulative dissertation, in addition to the requirements in paragraph (3), all individual contributions must be submitted under a joint title. <sup>2</sup>It must be indicated whether the

contributions have been submitted for publication, accepted or already published; in these cases, the submitted, accepted or published version must also be submitted. <sup>3</sup>If the individual contributions submitted are co-authored, the doctoral candidate's contribution must be clearly distinguishable and assessable as an individual contribution; the applicant must explain what independent contribution he or she has made to the article.

- (5) <sup>1</sup>The dissertation must also be submitted as an identical machine-readable digital file in PDF format on a USB stick. <sup>2</sup>The digital form shall be made accessible in particular for reporting purposes (§ 11), for inspection (§ 12) or for a separate examination by authorised members of the faculty in accordance with the detailed regulations of the doctoral committee; copyright and data protection must be observed. <sup>3</sup>The digital file may be subjected to an electronic plagiarism check by the rapporteurs (§ 11) and, in the event of suspected academic misconduct (§ 17 para. 3), also by the Doctoral Committee and the Commission for Academic Integrity. <sup>4</sup>An electronic plagiarism check may already be carried out during the submission of the dissertation.
- (6) <sup>1</sup>The dissertation must be written in German or, with the consent of the supervisor, in English. <sup>2</sup>The Dean may authorise the candidate to submit the dissertation in another language with the consent of the supervisor. <sup>3</sup>In the case of sentence 2, a detailed summary in German must be enclosed.

## § 8

### Supervision, Supervision Agreement

- (1) <sup>1</sup>The dissertation shall be supervised by a person authorised to conduct examinations (Section 2 (1)) (doctoral candidate relationship). <sup>2</sup>At the request of the doctoral candidate, the Doctoral Committee may permit the dissertation to be additionally supervised by a person authorised to conduct examinations in accordance with Section 2 (1) Sentence 1 from another faculty of the University if the topic of the dissertation has significant links to the subject area represented by this person authorised to conduct examinations in accordance with Section 2 (1) Sentence 1. <sup>3</sup>If the dissertation is completed within the framework of a graduate centre or doctoral programme, the supervision shall be governed by its regulations; the admission requirements of these regulations shall remain unaffected.
- (2) <sup>1</sup>The supervisor of the thesis and the candidate shall set out the key points of the supervisory relationship in a written agreement (supervision agreement) at the beginning of the supervision. <sup>2</sup>In the case of a cumulative dissertation, the criteria for the individual theses to be submitted are defined in particular. <sup>3</sup>The supervisor shall advise the candidate on the question of obtaining a binding partial decision in accordance with Section 10 (4). <sup>(4)</sup>The supervisor shall inform the doctoral committee of the establishment of the doctoral candidate relationship and submit the supervision agreement.

- (3) <sup>1</sup>The supervisor may terminate the supervisory relationship in writing if
1. despite adequate supervision, it becomes apparent after a sufficiently long processing period that successful completion of the doctoral procedure can no longer be expected within a reasonable period of time
  - or
  2. the basis of trust in the supervisory relationship has been destroyed and co-operation no longer appears possible.

<sup>2</sup>Before giving notice of termination, the supervisor shall explain the reasons to the doctoral candidate and give him or her the opportunity to comment. <sup>3</sup>If the doctoral candidate objects to the cancellation, the Doctoral Committee shall decide on the validity of the cancellation. <sup>4</sup>The doctoral candidate may terminate the supervisory relationship at any time up to the time specified in Section 10 (6) sentence 1; withdrawal from the doctoral procedure (Section 10 (6)) shall be deemed to be termination. <sup>5</sup>Upon termination, the supervisory relationship shall end and the supervision agreement shall be deemed cancelled; the supervisor shall inform the doctoral committee accordingly. <sup>6</sup>Upon cancellation of the supervision agreement, acceptance for the doctorate shall be deemed revoked unless another supervision relationship is established.

## § 9

### **Application for admission to the doctoral examination procedure**

<sup>1</sup>Admission to the doctoral examination procedure must be applied for in writing to the Dean. <sup>2</sup>The application must be accompanied by

1. Confirmation of acceptance for the doctorate by the faculty;
2. Three identical bound copies of the dissertation and a USB stick with the digital version (Section 7 (5));
3. A curriculum vitae of the doctoral candidate, which in particular provides information about their educational background;
4. An official certificate of good conduct. In the case of foreign doctoral candidates, proof recognised as equivalent by the University of Bayreuth must be submitted. This may be an extract from the criminal record of the home country, a certificate of good character or a comparable document. If the doctoral candidate is enrolled or in public service, the certificate of good conduct may be waived.
5. An affidavit by the doctoral candidate stating that he or she has written the dissertation independently and has not used any sources and aids other than those specified by him or her (cf. Art. 97 para. 1 sentence 8 BayHIG) as well as an updated declaration that he or she has not already

- submitted the dissertation for the award of an academic degree and that he or she has not already definitively failed this or a similar doctoral examination;
6. the supervision agreement;
  7. a declaration by the doctoral candidate that he or she has not used or will not use commercial doctoral mediation and counselling services;
  8. a declaration of consent by the doctoral candidate that investigations may be carried out by the University's internal academic integrity bodies in the event of suspected academic misconduct.

## **§ 10**

### **Decision on acceptance for the doctorate and admission to the doctoral examination procedure, withdrawal regulations**

- (1) Acceptance for doctoral studies shall be refused if the requirements specified in Section 4 (1), Section 5 or Section 6 are not met.
- (2) Admission to the doctoral examination procedure may be refused if
  1. no authorised examiner declares him/herself responsible for the assessment of the dissertation, or
  2. the documents required in § 9 are not available or are incomplete.
- (3) Admission to the doctoral examination procedure must be refused if
  1. acceptance for the doctorate has not been granted, or
  2. the doctoral candidate has proven to be unworthy of holding the doctoral degree due to his or her behaviour.
- (4) <sup>1</sup>At the applicant's request, the Dean shall make a binding partial decision on the special admission requirements in accordance with § 5 or § 6. <sup>2</sup>If, in the case of § 5 (4), no partial decision has been requested or if the request has not been granted, admission to the doctoral examination procedure shall be refused.
- (5) <sup>1</sup>The dean shall decide in writing on the applicant's or doctoral candidate's application for acceptance to the doctorate or admission to the doctoral examination procedure within one month of receipt. <sup>2</sup>When calculating the one-month period, however, the time off from teaching shall not be taken into account. <sup>3</sup>Section 3 (4) sentence 2 shall apply accordingly.
- (6) <sup>1</sup>The doctoral candidate may withdraw from the doctorate at any time, provided that no application for admission to the doctoral examination procedure has yet been submitted. <sup>2</sup>If he or she withdraws from the doctorate at a time when he or she has not yet received a negative decision

on admission to the doctoral examination procedure, the dissertation shall be deemed not to have been submitted. <sup>3</sup>If the doctoral candidate withdraws the application for admission after he or she has received a negative decision on the dissertation or after he or she has started the oral examination, the doctoral examination procedure shall be deemed to have ended without success. <sup>4</sup>The dean shall issue the doctoral candidate with a written notification of this, which must be justified and include information on legal remedies. <sup>5</sup>Withdrawal from the doctorate in accordance with sentences 1 to 3 shall be effected by submitting an application to the Dean. <sup>6</sup>Upon confirmation of the withdrawal by the Dean, the supervision agreement shall be deemed cancelled.

- (7) Upon request, the dean may decide to conduct a joint procedure in accordance with §§ 24 ff. before the dissertation is submitted.

## § 11

### Reporting on the dissertation

- (1) <sup>1</sup>After admission to the doctoral examination procedure, the dean shall immediately appoint two rapporteurs in accordance with § 2 to report on the dissertation, one of whom must be a full-time professor affiliated with the faculty (Art. 19 para. 1 sentence 1 BayHIG) . <sup>2</sup>The supervisor of the thesis is generally the rapporteur; this also applies if the supervisor has been appointed to another university. <sup>3</sup>The Dean may also appoint authorised examiners from other faculties of the University of Bayreuth or other academic universities as rapporteurs, provided that they fulfil the qualification requirements specified in Art. 85 Para. 1 BayHIG in conjunction with § 4 Sentence 1 HSchPrüferV. <sup>4</sup>The doctoral committee shall be informed immediately of the appointment of the rapporteurs.
- (2) <sup>1</sup>Each rapporteur shall submit a written report on the dissertation within a reasonable period of time, if possible within three months, and propose to the Dean that the dissertation be accepted, returned for improvement or rejected. <sup>2</sup>The rapporteur may also impose conditions for the improvement of the thesis, which must be fulfilled before publication in accordance with Section 18 (1). <sup>3</sup>The application for acceptance must be accompanied by a proposed grade on the following scale:

summa cum laude	= 0 =	a very outstanding achievement;
magna cum laude	= 1 =	a particularly commendable achievement;
cum laude	= 2 =	an above-average performance;
satis bene	= 3 =	a performance that fulfils average requirements requirements in every respect;
rite	= 4 =	a performance that fulfils average requirements despite its short- comings;

insufficient = 5 = a performance that suffers from considerable deficiencies and is no longer usable overall.

<sup>4</sup>The dean shall send a copy of the reports to the doctoral committee.

- (3) <sup>1</sup>The Dean shall appoint a third rapporteur if the two rapporteurs differ in their assessment by more than one grade, if one of the rapporteurs proposes the rejection of the dissertation or if one of the rapporteurs requests the appointment of a further rapporteur. <sup>2</sup>A third rapporteur shall also be appointed if the Doctoral Committee has stipulated this in its guidelines for quality assurance in the area of grading. <sup>3</sup>The Doctoral Committee may also appoint up to two further rapporteurs on its own initiative until the dissertation is accepted, if it deems it necessary to ensure a proper assessment.
- (4) <sup>1</sup>The dean may return the dissertation to the doctoral candidate for revision; he or she must do so if one of the reviewers requests that the dissertation be returned for revision. <sup>2</sup>The revised dissertation must be resubmitted within one year. <sup>3</sup>In justified exceptional cases for which the doctoral candidate is not responsible, the Dean may extend the deadline by a maximum of one further year at the request of the doctoral candidate and after consultation with the supervisor. <sup>4</sup>If the dissertation is not submitted on time, it shall be deemed to have been rejected. <sup>5</sup>A revised dissertation shall be assessed according to the state of knowledge and expertise at the time of resubmission.
- (5) The thesis shall be rejected and the procedure terminated if both rapporteurs or a reviewer appointed in accordance with paragraph 3 propose the rejection of the thesis.
- (6) The doctoral examination procedure may not be repeated.

## **§ 12**

### **Inspection of the dissertation**

- (1) <sup>1</sup>The digital version of the dissertation (Section 7 (5)) and the digital or digitised reviews shall be made available for inspection in digital form for a fortnight by members of the Faculty who are authorised to examine. <sup>2</sup>The display period begins on the first working day of a week. <sup>3</sup>The dean shall announce the start of the period of access and the proposal of the rapporteurs.
- (2) Statements by members of the faculty authorised to conduct examinations may be made within one month, provided that the submission of a statement has been announced in good time within the display period in accordance with para. 1 sentence 1 of this provision.

## **§ 13**

### **Decision on the acceptance of the dissertation**

- (1) <sup>1</sup>The overall grade of the dissertation is generally calculated from the arithmetic mean of the grades proposed by the rapporteurs. <sup>2</sup>It shall be determined by the Dean. <sup>3</sup>If there are written comments in accordance with § 12 (2), the doctoral committee shall make the decision; this may deviate from the arithmetic mean by half a grade level, taking into account the written comments in particular.
- (2) <sup>1</sup>The doctoral candidate shall be notified in writing of the acceptance or rejection of the dissertation. <sup>2</sup>Section 3 (4) sentence 2 shall apply accordingly.
- (3) A printed copy of the dissertation, the digital version of the dissertation and the reports of the rapporteurs must be archived.

## **§ 14**

### **Examination board for the colloquium**

- (1) <sup>1</sup>A scientific colloquium shall take place before the Examination Board within a reasonable period of time after acceptance of the dissertation. <sup>2</sup>The Examination Board shall consist of
  1. A professor of the faculty who is authorised to conduct examinations, who may not be the rapporteur, as chairperson;
  2. The supervisor;
  3. A teacher authorised to conduct examinations, who is usually also the rapporteur.<sup>3</sup>If further rapporteurs have been appointed, they may participate as additional examiners.
- (2) If the supervisor is unable to attend the colloquium, another teacher authorised to conduct examinations shall be appointed as a member of the examination board in his/her place; this person must be a member of the Faculty of Law and Economics.
- (3) The members of the examination board according to para. 1 nos. 1, 3 and para. 2 are appointed by the dean.
- (4) § Section 2 (2) and (3) and Section 3 (3) and (4) apply accordingly.



## § 15

### Colloquium

- (1) <sup>1</sup>The colloquium is a collegial individual examination. <sup>2</sup>It shall begin with a presentation of the dissertation in the form of a short lecture followed by an academic discussion, which shall primarily relate to the basic principles and theses of the dissertation as well as to problems that are factually or methodologically related to the dissertation. <sup>3</sup>The academic debate should also show whether the doctoral candidate has mastered further areas and more recent developments in the subject area from which the dissertation is taken in the case of a doctorate in law, and whether he or she has mastered essential problems and more recent developments in the fundamentals of his or her subject area in the case of a doctorate in economics. <sup>4</sup>The short presentation and academic debate are open to the public. <sup>5</sup>The Chair of the Examination Board may allow questions from authorised examiners during the debate.
- (2) <sup>1</sup>The Chair of the Examination Committee shall set the date of the colloquium and invite the other members of the Examination Committee and the doctoral candidate to the colloquium in writing or in text form at least 14 days before the date of the colloquium. <sup>2</sup>Together with the invitation to the colloquium, the doctoral candidate shall also be informed of the composition of the Examination Board. <sup>3</sup>The doctoral candidate may waive the notice period.
- (3) <sup>1</sup>The colloquium lasts 60, at most 90 minutes and is conducted in German. <sup>2</sup>At the doctoral candidate's request and in agreement with the Examination Board, the examination may be conducted in whole or in part in another language. <sup>3</sup>The colloquium shall generally take place as a face-to-face examination. <sup>4</sup>In justified exceptional cases, the Dean may authorise an electronic remote examination at the request of the Chair of the Examination Board and with the consent of the doctoral candidate; the statutes on the conduct of electronic remote examinations at the University of Bayreuth shall apply. <sup>5</sup>Such authorisation is not required if only individual examiners are to be connected via a video or conference tool to an examination that is otherwise held in person. <sup>6</sup>In such a case, the decision is the responsibility of the chairperson of the examination board.
- (4) <sup>1</sup>Minutes must be taken of the colloquium. <sup>2</sup>The minutes must contain information on
1. The date of the colloquium,
  2. The names of the chairperson and the other examiners,
  3. the name of the doctoral candidate,
  4. The subject of the examination,
  5. the grades of the dissertation and the colloquium as well as the overall grade of the doctorate in accordance with § 16.

- <sup>3</sup>The minutes shall be signed by the chairperson and the other members of the Examination Committee.
- (5) <sup>1</sup>The grading of the colloquium shall be carried out by the Examination Board after a joint, private discussion between the examiners and shall be based on the grading scale in accordance with Section 11 (2). <sup>2</sup>Each examiner shall give an individual grade. <sup>3</sup>The overall grade of the colloquium is calculated from the arithmetic mean of the individual grades.
- (6) If the overall grade in the colloquium is worse than "rite" or if two examiners assess the doctoral candidate's performance as "insufficient", the examination is failed.
- (7) <sup>1</sup>If the colloquium has not been passed, it may be repeated after three months at the earliest and after six months at the latest. <sup>2</sup>If the doctoral candidate does not apply to retake the examination within this period or if the colloquium is again assessed as failed, the entire doctorate shall be deemed to have been definitively failed.
- (8) <sup>1</sup>The colloquium shall be deemed to have been failed if the doctoral candidate misses the colloquium date without sufficient excuse or if he or she withdraws from the examination after it has begun without a valid reason. <sup>2</sup>Section 3 (4) sentence 2 shall apply accordingly. <sup>3</sup>The dean must be notified immediately in writing of the reasons given for the cancellation or absence and these must be substantiated. <sup>4</sup>If the doctoral candidate is ill, the Dean may request the submission of a medical certificate. <sup>5</sup>If the dean recognises the reasons, a new appointment shall be made.

## § 16

### Overall assessment of the doctoral performance

- (1) The doctoral examination is passed if the dissertation is accepted (Section 11 (2)) and the colloquium is passed (Section 15 (5)).
- (2) <sup>1</sup>The overall grade for the doctorate shall be the sum of the double grade for the dissertation and the single grade for the colloquium divided by three. <sup>2</sup>The calculated overall grade shall be truncated after the first decimal place without rounding. <sup>3</sup>The following grading system applies to the overall grade:
- |                  |                   |
|------------------|-------------------|
| up to 0.5        | = summa cum laude |
| above 0.5 to 1.5 | = magna cum laude |
| above 1.5 to 2.5 | = cum laude       |
| over 2.5 to 3.5  | = satis bene      |
| above 3.5 to 4.0 | = rite            |
- (3) <sup>1</sup>The result of the resolution on the overall grade of the doctorate shall be presented to the doctoral candidate orally by the chairperson of the Examination Board following the colloquium in

camera. <sup>2</sup>The overall grade, the grading of the dissertation and the colloquium shall be recorded in writing and signed by the Chairperson of the Examination Board.

- (4) <sup>1</sup>The Dean shall issue the doctoral candidate with a written interim notification of the result of the passed examination. <sup>2</sup>This interim notification does not authorise the holder to use the doctoral degree.
- (5) <sup>1</sup>If the examination is not passed, the dean shall notify the doctoral candidate of the decision in writing with information on legal remedies. <sup>2</sup>Art. 39 BayVwVfG applies to the obligation to state reasons.

## § 17

### **Invalidity of doctoral achievements and revocation of the doctoral degree**

- (1) If it becomes apparent before the certificate is issued that the doctoral candidate has cheated in the doctoral procedure, the Doctoral Committee shall declare all previously acquired authorisations invalid and discontinue the procedure.
- (2) <sup>1</sup>If the deception or other illegality only becomes known after the certificate has been issued, the doctoral committee may subsequently declare the doctoral examination failed. <sup>2</sup>Withdrawal of the doctoral degree shall be governed by the statutory regulations (Art. 48 BayVwVfG). <sup>3</sup>The person concerned must be given the opportunity to comment before the decision is made (Art. 28 BayVwVfG). <sup>4</sup>An onerous decision must be substantiated and accompanied by information on legal remedies.
- (3) <sup>1</sup>In cases of suspected academic misconduct, the Doctoral Committee shall generally only make its decision once the Commission for Academic Integrity has submitted its report. <sup>(2)</sup> If the Doctoral Committee has already decided in advance in special cases, the further referral to the Commission for Research Integrity shall remain unaffected. <sup>3</sup>It is no longer possible to renounce the doctoral degree once the Doctoral Committee or the Commission for Research Integrity has begun investigating the issue of research misconduct.
- (4) In the event of failure of the doctoral examination pursuant to para. 2 sentence 1, the doctoral certificate shall be confiscated.
- (5) In all other respects, the withdrawal of the doctoral degree shall be governed by Art. 101 BayHIG in conjunction with the relevant provisions of the BayVwVfG.

## § 18

### Compulsory copies

- (1) <sup>1</sup>After passing the colloquium, the doctoral candidate must submit the following to the Dean free of charge within one year:

1. Compulsory copies

- a printed or print-like reproduced copy of the dissertation if the dissertation is made permanently accessible to the public via EPub Bayreuth,
- or
- 15 book copies if the dissertation is published as an independent publication in bookshops or as a monograph in a publication series; if it is made permanently accessible to the public exclusively electronically under open access conditions, 3 book copies are sufficient;

2. An abstract of no more than one page approved by the supervisor for the purposes of publication.

<sup>2</sup>At the request of the doctoral candidate, the dean may extend the obligation to submit the thesis by a maximum of one further year if he or she is not responsible for the deadline being exceeded.

- (2) <sup>1</sup>In the case of a cumulative dissertation, full bibliographical details must be provided for all contributions already accepted for publication or published. <sup>2</sup>Insofar as the acts of use under copyright law required for para. 1 are not already permitted by law, the doctoral candidate shall ensure by contract that the reproduction, distribution and/or making available to the public of the individual contributions collected is permitted as required for the fulfilment of the submission obligation in para. 1. <sup>3</sup>If the rights holder to the first publications does not grant permission, or if it is unreasonable for the doctoral candidate to wait for permission, a detailed summary of the content of the individual contribution(s) shall suffice. <sup>4</sup>In this case, the combination of the requirements specified in Section 7 (2) and the summary of the individual contribution(s) must result in a text that is comprehensible and independently marketable. <sup>5</sup> This is irrefutably presumed in the case of a corresponding certificate from the first supervisor.

- (3) The front and back of the title page of the deposit copies must correspond to the design specified by the doctoral committee.

- (4) <sup>1</sup>The doctoral candidate must submit to the Dean a confirmation from the supervisor that any conditions requested by a rapporteur have been fulfilled and that any other deviations from the submitted version have only been made with the consent of the supervisor. <sup>2</sup>Otherwise, the dissertation must be published in the version in which it was finally assessed.

## **§ 19**

### **Certificate and completion of the doctorate**

- (1) If the requirements specified in § 18 are met, the Faculty of Law, Business & Economics shall issue a certificate in German confirming that the doctoral examination has been passed.
- (2) <sup>1</sup>The certificate confirms the successful doctorate. <sup>2</sup>The certificate contains
- the name of the university and the faculty,
  - the first and last names of the doctoral candidate, date and place of birth,
  - the academic degree awarded,
  - the title of the dissertation,
  - the date of the oral examination,
  - the overall grade of the doctorate,
  - the name and signature of the President of the University of Bayreuth of the University of Bayreuth and the Dean of the Faculty,
  - the seal of the University of Bayreuth.
- <sup>3</sup>The date of the certificate shall be the date of delivery of the deposit copies pursuant to § 18 (1).
- <sup>4</sup>In addition, an English translation shall be prepared.
- (3) <sup>1</sup>The certificate shall be handed over by the Dean together with the English translation and the description to be prepared in accordance with para. 2 sentence 4. <sup>2</sup>The doctorate shall be completed and finalised upon delivery of the certificate; the doctoral candidate shall thereby receive the right to use the doctoral degree.
- (4) The Dean may permit the doctoral candidate to use the doctoral degree for a limited period before the certificate is awarded if the doctoral candidate has fulfilled the requirements specified in § 18 but the award of the certificate is delayed or if the publication of the dissertation and the delivery of the deposit copies are sufficiently assured by a binding declaration from the publisher.

## **§ 20**

### **Right of inspection**

<sup>1</sup>After receipt of the notification pursuant to Section 16 (4) or after the unsuccessful completion of the doctoral examination procedure, the doctoral candidate may inspect the doctoral documents. <sup>2</sup>The application must be submitted to the Dean of the Faculty of Law and Economics within one month of completion of the doctoral examination procedure. <sup>3</sup>The procedure for inspection in these and other cases in which a request for inspection is made is governed by Art. 29 et seq. BayVwVfG. <sup>4</sup>Art. 32 BayVwVfG applies accordingly. <sup>5</sup>The dean shall determine the time and place of the inspection. <sup>6</sup>Upon

informal request by the doctoral candidate, the expert reports pursuant to Section 11 shall be made available to him or her in digital form.

### **Section three: Honorary doctorate**

#### **§ 21**

#### **Application for an honorary doctorate**

<sup>1</sup>The Faculty may award an honorary doctorate (Dr. jur. h.c., Dr. rer. pol. h.c.) for exceptional academic achievements in the subjects of law and economics. <sup>2</sup>The honorary doctorate procedure is to be initiated upon a substantiated written application by at least two thirds of the professors of law or economics within the meaning of Art. 19 para. 1 sentence 1 BayHIG. <sup>3</sup>The application must be addressed to the Dean.

#### **§ 22**

#### **Appraisal**

- (1) <sup>1</sup>The dean shall submit the application to the extended doctoral committee within a reasonable period of time. <sup>2</sup>All professors within the meaning of Art. 19 para. 1 sentence 1 BayHIG of the Faculty of Law and Economics shall be members of this committee. <sup>3</sup>The chairperson is the dean.
- (2) The extended doctoral committee appoints two professors within the meaning of Art. 19 para. 1 sentence 1 BayHIG to assess the achievements of the person to be honoured.
- (3) <sup>1</sup>The reviews shall be submitted to the members of the extended doctoral committee. <sup>2</sup>They may submit a written statement within one month.

#### **§ 23**

#### **Decision and execution of the honorary doctorate**

- (1) <sup>1</sup>The extended doctoral committee shall decide on the application for the award of an honorary doctorate. <sup>2</sup>The decision shall be made in consideration of the application and the expert opinions as well as the statements submitted.
- (2) <sup>1</sup>The Dean of the Faculty of Law and Economics and the President of the University of Bayreuth shall confer the honorary doctorate by presenting the honoured person with a certificate. <sup>2</sup>The certificate shall acknowledge the achievements of the honoured person.

## **Section Four: Doctorate under joint supervision with a foreign university/faculty**

### **§ 24**

#### **Prerequisites**

A doctoral procedure carried out jointly with a foreign university/faculty within the meaning of Section 1 (1) sentence 2 requires that

1. an agreement has been concluded with the foreign university or faculty on the cross-border joint supervision of doctorates;
2. the partner institution has the right to award doctorates under its national legislation and the academic degree to be awarded by it would be recognised within the scope of the Higher Education Framework Act;
3. acceptance for doctoral studies and admission to the doctoral examination procedure has been granted both in accordance with §§ 4, 5 or 6 and 9 at the Faculty of Law, Business & Economics of the University of Bayreuth and in accordance with the corresponding regulations at the partner institution;
4. the doctoral candidate receives a copy of the agreement pursuant to No. 1.

### **§ 25**

#### **Doctorate in Bayreuth**

- (1) <sup>1</sup>The dissertation may be submitted at the Faculty of Law and Economics of the University of Bayreuth or at the foreign university or faculty in accordance with the detailed provisions of the agreement pursuant to Section 24 (1). <sup>2</sup>A dissertation that has already been submitted to the foreign university or faculty and has been accepted or rejected there may not be resubmitted to the Faculty of Law and Economics at the University of Bayreuth. <sup>3</sup>The agreement pursuant to Section 24 No. 1 shall ensure that a dissertation submitted to the Faculty of Law, Business & Economics at the University of Bayreuth and accepted or rejected there cannot be resubmitted to the foreign university/faculty.
- (2) Section 7 shall apply to dissertations submitted to the Faculty of Law, Business & Economics at the University of Bayreuth.
- (3) <sup>1</sup>The doctorate shall be supervised by one university teacher authorised to conduct examinations from the Faculty of Law, Business & Economics at the University of Bayreuth and one university teacher authorised to conduct examinations from the foreign university or faculty. <sup>2</sup>The organisation of the supervision is set out in the agreement pursuant to § 24 No. 1.

- (4) Sections 4 and 5 or 6, 9 and 10 shall apply to acceptance for the doctorate and admission to the doctoral examination at the Faculty of Law and Economics at the University of Bayreuth.

## **§ 26**

### **Reporting on the dissertation**

- (1) After admission to the doctoral examination procedure, the Dean shall appoint two reviewers to report on the dissertation, who shall be, as a rule, the professors of the Faculty of Law, Business & Economics of the University of Bayreuth and of the foreign university/faculty that supervised the dissertation.
- (2) If the dissertation is submitted to the Faculty of Law and Economics at the University of Bayreuth, Section 11 (2) and (4) shall apply accordingly.
- (3) <sup>1</sup>If the two reviewers differ by more than one grade or if one of the reviewers proposes the rejection of the dissertation, the Dean of the Faculty of Law, Business & Economics of the University of Bayreuth and the Dean or the head of the partner institution shall jointly appoint a further reviewer. <sup>2</sup>In accordance with Section 11 (3), the Dean of the Faculty of Law, Business & Economics of the University of Bayreuth and the Dean or the head of the partner institution may jointly appoint a further rapporteur.
- (4) If both reviewers or one rapporteur and the additional reviewers appointed in accordance with para. 3 reject the acceptance, the procedure shall be terminated.
- (5) Sections 12 and 13 shall apply accordingly.

## **§ 27**

### **Continuation of the proceedings**

- (1) If the dissertation is accepted by the Faculty of Law, Business & Economics of the University of Bayreuth, the partner institution shall be notified of this in order to appoint the further examiner within the meaning of Section 28 (1).
- (2) <sup>1</sup>If the partner institution appoints the further examiner within the meaning of Section 28 (1), either a colloquium or, if this has been agreed with the partner institution, a disputation shall take place at the Faculty of Law, Business & Economics of the University of Bayreuth. <sup>2</sup>If no such agreement has been made, the doctoral candidate may choose between a colloquium and a defence.



## § 28

### Colloquium

- (1) <sup>1</sup>If the doctoral candidate chooses a colloquium (Section 27 (2)), the provisions of Sections 14 and 15 shall apply. 1, the examination committee shall be composed of the two rapporteurs and one further authorised member each from the Faculty of Law, Business & Economics of the University of Bayreuth and the partner institution, who shall be appointed by the Dean of the Faculty of Law, Business & Economics of the University of Bayreuth or by the Dean or the head of the partner institution.
- (2) <sup>1</sup>If a rapporteur is unable to attend the colloquium, another authorised lecturer from the Faculty of Law, Business & Economics at the University of Bayreuth or from the foreign university/faculty shall be appointed by the respective dean or head. <sup>2</sup>The substitute members must belong to the respective faculty or university.
- (3) The chairperson shall be the other university lecturer from the Faculty of Law, Business & Economics at the University of Bayreuth who is authorised to conduct examinations and who has been appointed by the dean in accordance with para. 1 if the dissertation was submitted here.
- (4) The doctoral candidate shall be informed of the composition of the committee with the invitation to the colloquium.
- (5) <sup>1</sup>The examination shall be conducted in German. <sup>2</sup>In agreement with the examination committee, the examination may be conducted in another language in whole or in part.

## § 29

### Disputation

- (1) <sup>1</sup>If a disputation is chosen, this shall take place before a disputation committee whose composition corresponds to that of the examination committee in accordance with Section 28. <sup>2</sup>Section 28 (4) and (5) shall apply accordingly.
- (2) <sup>1</sup>The defence is public. <sup>2</sup>Prior to the defence, a copy of the dissertation shall be made available for inspection by members of the Faculty of Law, Business & Economics of the University of Bayreuth. <sup>3</sup>The defence shall be conducted by the chairperson of the committee appointed in accordance with Section 28 (3). <sup>4</sup>All professors from the Faculty of Law, Business & Economics at the University of Bayreuth and the partner institution may take part in the defence. <sup>5</sup>Minutes shall be taken of the defence of the dissertation on its main topics. <sup>6</sup>The defence shall begin with a report by the doctoral candidate on the dissertation, the duration of which has been agreed in advance with the chair of the committee. <sup>7</sup>The report is followed by an examination discussion which covers

the fundamentals of the dissertation as well as questions related to its subject matter or methodology.<sup>8</sup>All professors and all habilitated members of the Faculty of Law, Business & Economics at the University of Bayreuth and the foreign university/faculty are eligible to take part in the interview.<sup>9</sup>In the event of unexcused absence from the date of the defence, the doctorate shall be deemed to have been rejected.<sup>10</sup>The Doctoral Committee (§ 3) shall decide whether the tardiness is excused on the basis of the reasons for tardiness presented in writing and without delay.<sup>11</sup>Section 15 (8) shall apply accordingly.

- (3) <sup>1</sup>After the defence, each examiner shall assess the performance with one of the grades specified in Section 11 (2). <sup>2</sup>The average is calculated from these grades.
- (4) Section 15 (5) to (8) shall apply accordingly to the grading and repetition of the defence.

### **§ 30**

#### **Overall assessment of the doctoral performance**

For the overall assessment of the doctoral performance, § 16 shall apply accordingly in the case of a colloquium and in the case of a defence.

### **§ 31**

#### **Termination of the joint doctoral procedure**

<sup>1</sup>If the dissertation has been rejected in accordance with Section 26 (4) or if the colloquium or defence has been graded lower than rite, the joint doctoral examination procedure shall be terminated. <sup>2</sup>A new joint doctoral procedure is excluded. <sup>3</sup>The agreement pursuant to § 24 No. 1 shall stipulate that the rejected dissertation may not be resubmitted to the partner institution.

### **§ 32**

#### **Oral examinations at the partner institution**

- (1) If the dissertation is submitted to the partner institution, the oral examination or defence shall also take place there.
- (2) <sup>1</sup>If a positive decision has been made at the partner institution regarding the acceptance of the dissertation or the progress of the procedure, the partner institution shall inform the Faculty of Law and Economics of the University of Bayreuth of the decision.<sup>2</sup>The dean shall appoint the further examiner within the meaning of Section 28 (1) from among the university teachers of the Faculty of Law and Economics of the University of Bayreuth who are authorised to conduct examinations in accordance with the doctoral degree regulations there.

- (3) <sup>1</sup>If the partner institution has rejected the dissertation, the joint procedure shall be terminated.  
<sup>2</sup>The rejected dissertation may not be resubmitted to the Faculty of Law, Business & Economics at the University of Bayreuth.

### **§ 33**

#### **Completion of the doctorate**

Section 18 shall apply accordingly to a dissertation submitted to the Faculty of Law, Business & Economics of the University of Bayreuth.

### **§ 34**

#### **Use of academic degrees**

- (1) <sup>1</sup>After the completion of a joint doctoral procedure, a diploma shall be issued for the award of the doctoral degree (Dr. jur. or Dr. rer. pol.). <sup>2</sup>The certificate expresses that the doctorate was carried out under joint supervision with the partner institution. <sup>3</sup>It shall bear the signatures and seals required by the regulations applicable to the Faculty of Law, Business & Economics of the University of Bayreuth and to the partner institution. <sup>4</sup>If a certificate is issued abroad at the same time, it shall be stated in both certificates by combining them or in some other way that both certificates constitute a joint diploma and that the doctoral candidate is authorised to use the German doctoral degree in Germany and the corresponding doctoral degree abroad. <sup>5</sup>The details of the form of the certificates shall be governed by the agreement pursuant to § 24 No. 1. <sup>6</sup>The agreement shall also specify the grade equivalence. <sup>7</sup>The foreign grade equivalent to the German grade may be added in brackets.
- (2) <sup>1</sup>Following the completion of a joint doctoral procedure at the partner institution, the Faculty of Law, Business & Economics of the University of Bayreuth shall issue a certificate of conferral of the doctoral degree (Dr. jur. or Dr. rer. pol.) after the certificate has been issued by the foreign university/faculty. <sup>2</sup>It is stated that both certificates constitute a joint diploma and that the doctoral candidate is authorised to use the German doctoral degree in Germany and the doctoral degree awarded in the foreign country. <sup>3</sup>Paragraph 1 shall apply accordingly to the design and combination of the certificates and the equivalence of grades.
- (3) <sup>1</sup>In the case of a doctorate completed at a foreign partner institution, the printing of the dissertation and the delivery of the deposit copies shall be governed by the provisions applicable to the partner institution. <sup>2</sup>The agreement pursuant to Section 24 No. 1 shall stipulate how many copies of the dissertation are to be submitted to the Faculty of Law and Economics of the University of Bayreuth. <sup>3</sup>The Faculty of Law, Business & Economics of the University of Bayreuth may make the

delivery of the certificate to be issued by it in accordance with para. 2 dependent on the delivery of these copies.

## **§ 35**

### **Invalidity of doctoral achievements**

Section 17 shall apply accordingly to the invalidity of doctoral achievements.

## **§ 36**

### **Withdrawal of the doctoral degree**

<sup>1</sup>Withdrawal of the doctoral degree shall be governed by the statutory provisions on the use of academic degrees and the relevant statutory provisions of the BayVwVfG. <sup>2</sup>The person concerned must be given the opportunity to comment before the decision is made (Art. 28 BayVwVfG). <sup>3</sup>An onerous decision must be substantiated and accompanied by information on legal remedies.

## **Fifth section: Cooperation with universities of applied sciences, further regulations, Transitional and final provisions**

## **§ 37**

### **Cooperation with universities of applied sciences/HAWs**

- (1) <sup>1</sup>The Faculty of Law, Business & Economics enables the cooperative implementation of doctoral projects with universities of applied sciences/universities of applied sciences as well as joint doctorates with Bavarian universities of applied sciences/universities of applied sciences on the basis of the agreement of the Bavarian universities of applied sciences of 19 October 2015 within the framework of the provisions of these doctoral regulations. <sup>2</sup>Professors from universities of applied sciences/universities of applied sciences are appointed as supervisors and examiners. <sup>3</sup>In the case of doctorates with universities of applied sciences/universities of applied sciences, the dissertation shall be supervised by the participating authorised examiners from the university of applied sciences/university of applied sciences and the university on an equal footing and the supervision agreement shall be concluded jointly with them and the applicant accordingly.
- (2) <sup>1</sup>The decision on the admission of a professor of a university of applied sciences/university of applied sciences as supervisor of a dissertation shall be made by the doctoral committee. <sup>2</sup>The appointment of a professor from a university of applied sciences/university of applied sciences as the reviewer for the dissertation and as a member of the examination board shall be made by the

dean.<sup>3</sup>At least one rapporteur and the majority of the members of the Examination Board must be members of the University who are authorised to conduct examinations.

- (3) In the case of doctorates with universities of applied sciences/universities of applied sciences, the participating university of applied sciences/university of applied sciences shall also be listed on the certificate.

## **§ 38**

### **Consideration of protective provisions**

- (1) <sup>1</sup>The utilisation of periods of protection under the Maternity Protection Act must be guaranteed. <sup>2</sup>Upon application, the utilisation of parental leave in accordance with the Federal Parental Allowance and Parental Leave Act and the periods for the care of a close relative within the meaning of Section 7 (3) of the Care Leave Act, who is in need of care within the meaning of Sections 14 and 15 of the Eleventh Book of the Social Security Code, shall be guaranteed. <sup>3</sup>The relevant evidence must be kept; changes in the requirements must be notified immediately.
- (2) <sup>1</sup>Upon application, periods during which the doctorate cannot be pursued or can only be pursued to a very limited extent for reasons for which the doctoral candidate is not responsible shall not be counted towards time limits. <sup>2</sup>The relevant evidence must be provided; in the case of illness, medical certificates must be submitted. <sup>3</sup>Changes to the requirements must be notified immediately.

## **§ 39**

### **Consideration of special needs of people with disabilities or chronic illnesses and in special circumstances**

- (1) <sup>1</sup>In order to ensure equal opportunities, the special needs of applicants/doctoral candidates with disabilities or chronic illnesses within the meaning of the Bavarian Equal Opportunities for Persons with Disabilities Act shall be taken into account in an appropriate manner. <sup>2</sup>In agreement with the doctoral committee, the dean shall, upon written application by the applicant or doctoral candidate and depending on the severity of the proven examination disability or chronic illness, determine the form in which the examination work is to be performed or grant an extension of working hours or other compensation for disadvantages. <sup>3</sup>Proof of the examination disability or chronic illness must be provided in the form of a medical certificate stating that the examination cannot be taken in full or in part in the intended form due to a prolonged or permanent disability or chronic illness. <sup>4</sup>The application must be attached to the application for acceptance to the doctorate (§ 4); if the special concerns only arise after this point in time, it must be attached

to the application for admission to the doctoral examination procedure (§ 9). <sup>5</sup>If the application is submitted later, it shall only apply to future examinations.

- (2) <sup>1</sup>Candidates/doctoral candidates in special circumstances may apply to the Dean for compensation for disadvantages in accordance with para. 1. <sup>2</sup>The Dean shall decide on the existence of a special life situation and the extent of the compensation for disadvantages in consultation with the Doctoral Committee.

## **§ 40**

### **Entry into force, expiry**

<sup>1</sup>These Doctoral Degree Regulations enter into force on 21 May 2022. <sup>2</sup>At the same time, the Doctoral Regulations for the Faculty of Law, Business & Economics dated 16 September 2017 (AB UBT 2017/063) shall cease to apply.\*)

\*) The Second Amendment Statute of 5 June 2025 contains the following entry into force regulation:

These regulations enter into force on 6 June 2025.